

PUBLIC ACCESS TO INFORMATION GUIDELINES AND INTERPRETATION NOTES

OFFICE OF THE REGULATOR OF OIL AND GAS OPERATIONS

Draft: December 2019

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1. INTRODUCTION

Purpose

The Public Access to Information Guidelines and Interpretation Notes (Guidelines) provide guidance on whether and how information submitted to the Regulator for the purpose of the Oil and Gas Operations Act (OGOA) and its regulations is made publicly available.

Legislative Requirements

Section 22 of OGOA describes the overall approach to making information submitted to the Regulator available to the public and explains circumstances under which information may be kept confidential:

- Because of it meets certain criteria; or
- For a certain period of time.

Legislation is Paramount

Where a conflict exists between the Guidelines and OGOA, OGOA is paramount.

Objectives

The objectives of the Guidelines are to:

- Describe how information provided to the Regulator is handled resulting from the amendments to OGOA which came into effect on MONTH DAY, YEAR;
- Provide information on the process for requesting that information provided to the Regulator be kept confidential;
- Enhance certainty and predictability in regulatory decisions; and
- Promote accessibility and transparency in oil and gas regulation.

Authority

These Guidelines are issued by the Regulator under section 18 of the OGOA.

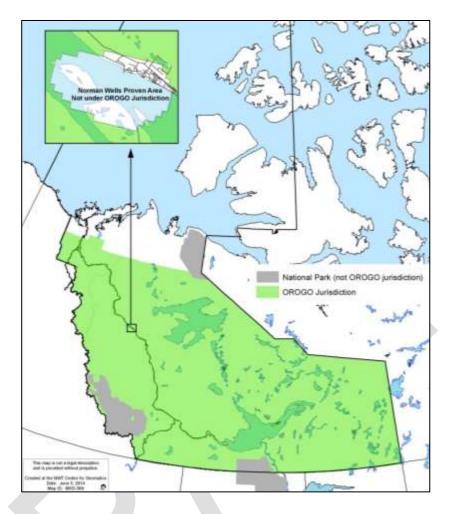
Regulator's Discretion

Application of these Guidelines is subject to the Regulator's discretion under OGOA.



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Jurisdiction



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2. MAKING INFORMATION PUBLIC

Contents

This section describes how the Office of the Regulator of Oil and Gas Operations (OROGO) will make information public. It contains information on:

- Information provided to the Regulator;
- Information produced by the Regulator;
- Location of publicly available information;
- Time periods during which the following types of information will be made public:
 - o Applications
 - Active operations
 - Drilling results
 - o Geophysical or geological work
 - Other research

Objective

Persons providing information to the Regulator and members of the public interested in that information understand what will be made public and when.

Requirements

Section 22(2) of OGOA states that the Regulator will make information provided to it public, unless the Regulator determines the information meets the narrow criteria to be kept confidential.

Section 22(9) of OGOA lists information that must be made public and when.

Information Provided to the Regulator

Section 22(2) of OGOA applies to any information provided to the Regulator in relation to a requirement of OGOA or of its regulations.

Section 3 of these Guidelines explains the process for requesting that the Regulator keep information provided to it confidential.

Information Produced by the Regulator

OROGO is committed to transparency and accessibility in its operations. Information produced by the Regulator for the purposes of OGOA and its regulations is publicly available, except when it contains information that has been determined to be confidential by the Regulator or is held confidential under the *Access to Information and Protection of Privacy Act* (see Section 7 of these guidelines).



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Location of Publicly Available Information

Publicly available information is available in Portable Document Format (PDF):

- On OROGO's public registry or elsewhere on OROGO's website (www.orogo.gov.nt.ca); or
- By contacting the Manager, Information Office at (867) 767-9097 or orogo@gov.nt.ca

Applications

Non-confidential information associated with applications for Operations Authorizations, Well Approvals and Operating Licences is available as follows:

| Type of Information | Available |
|----------------------|--------------------------------------------|
| Applications | Within 5 days after the application is |
| | deemed complete |
| Information requests | Within 5 days after the request is issued |
| and responses | or response is received |
| Decisions | Within 5 days after the decision is issued |

Active Operations

Non-confidential information associated with active operations is available as follows:

| Type of Information | Available |
|--------------------------------------------------------------------------|----------------------------------------------------------------------------|
| Contingency plans | Within 5 days after the contingency plan is accepted |
| Information on diving work, weather observation, the status | Within 5 days after the information is received |
| of operations or development of/production from a pool or field | |
| Reports of accidents, incidents or petroleum | Within 5 days after the information is received in the form of an incident |
| spills | summary report or near-miss summary report prepared by OROGO. |
| Hydraulic fracturing fluid information | 30 days after the well was completed using hydraulic fracturing |
| Operator well inspection reports | Within 5 days after the information is received |



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Definitions An **incident** is:

- (a) an event that causes:
 - (i) a lost or restricted workday injury,
 - (ii) death,
 - (iii) fire or explosion,
 - (iv) a loss of containment of any fluid from a well,
 - (v) an imminent threat to the safety of a person, installation or support craft or
 - (vi) pollution
- (b) an event that results in missing person, or
- (c) an event that causes:
 - (i) the impairment of any structure, facility, equipment or system critical to the safety of persons, an installation or support craft, or
 - (ii) the impairment of any structure, facility, equipment or system critical to environmental protection.

A **near miss** is an event that would likely cause an event set out in paragraph (a) of the definition "incident" but does not, due to particular circumstances.

Pollution is the introduction into the natural environment of a substance or form of energy outside the authorized limits, including spills.

Drilling Results

Non-confidential information resulting from the drilling of a well is available as follows:

| Type of Information | Available |
|------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Well history reports, logs and other information received from drilling an exploratory well | Two years after the well was completed, suspended or abandoned |
| Well history reports, logs and other information received from drilling a delineation well | The later of: Two years after the associated exploratory well was completed, suspended or abandoned or 90 days after the delineation well was completed, suspended or abandoned |



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| Type of Information | Available |
|-----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Well history reports, logs | The later of: |
| and other information received from drilling a development well | Two years after the associated exploratory well was completed, suspended or abandoned or 60 days after the development well was completed, suspended or abandoned |

Definitions

An **exploratory well** is a well drilled on a geological feature on which a significant discovery has not been made.

A **delineation well** is a well drilled in order to determine the commercial value of the accumulation penetrated by an existing well.

A **development well** is a well drilled into the same accumulation as an existing well:

- For the purpose of production or observation; or
- For the injection or disposal of fluid into or from the accumulation.

Geophysical or Geological Work

Non-confidential information resulting from geophysical or geological work is available as follows:

| Type of Information | Available |
|-------------------------|--------------------------------------------|
| Information from | Five years after the work is completed. |
| exclusive geological or | |
| geophysical work | |
| Information from non- | Fifteen years after the work is completed. |
| exclusive geological or | |
| geophysical work | |

Definitions

Exclusive geological or geophysical work is conducted to gather data for use by a particular company.

Non-exclusive geological or geophysical work is conducted to gather data for the purpose of sale to the public.



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Other Research

Non-confidential information resulting from other research is available as follows:

| Type of Information | Available | |
|-----------------------|----------------------------------------------------------|--|
| Environmental study | If the information relates to a well that has | |
| · | been drilled, after 90 days. | |
| | Otherwise, two years after the study has | |
| | been completed. | |
| Information from | If the information relates to a well that has | |
| engineering research, | been drilled, see the requirements for | |
| feasibility studies, | exploratory, delineation and development | |
| experimental projects | wells. | |
| and geotechnical work | | |
| | Otherwise, the earlier of: | |
| | Five years after the research, | |
| | study or project has been | |
| | completed or | |
| | When the land reverts to Territorial | |
| | reserve lands | |



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3. APPLYING FOR CONFIDENTIALITY

Contents

This section describes how to apply for information to be kept confidential by the Regulator. It contains information on:

- Types of information that may be deemed confidential;
- Criteria for requesting that information be deemed confidential;
- Use of redacted documents:
- The confidentiality request process;
- Withdrawing information from the decision-making process; and
- Information on the public registry about confidentiality requests.

Objective

The process of applying for confidentiality is clear and the public is aware of decisions to consider information confidential.

Requirements

Section 22(2) of OGOA states that the Regulator will make information provided to it public, unless it meets certain narrow criteria.

Types of Information

The following types of information may be considered confidential by the Regulator:

- Financial information;
- Commercial information;
- Scientific information; and
- Technical information.

Criteria for Requesting Confidentiality

Information may be considered confidential by the Regulator if:

- It has been consistently treated as confidential by the provider;
- The provider's interest in confidentiality outweighs the public interest in the information's disclosure; and
- The information is not publicly available.

Use of Redacted Documents

In some cases, documents that have been redacted to remove confidential information may be submitted to the Regulator for posting on the public registry.

If a redacted document is submitted to the Regulator for posting on the public registry, an unredacted version must also be submitted for the Regulator's internal use.

The redacted and unredacted documents should be clearly identified in the document title and the electronic file name.



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Confidentiality Request Process

Confidentiality The process for requesting confidentiality is:

- 1. Submit a cover letter requesting confidentiality and describing:
 - The type of information (see Types of Information, above);
 - The criteria the information meets (see Criteria for Requesting Confidentiality, above); and
 - The reasons for the request.
- 2. Submit the information itself separately, clearly identified as confidential in the document title and the electronic file name.
- 3. The Regulator will decide whether the information is confidential.
- 4. If the Regulator decides the information is confidential, the information will not be publicly available.
- 5. If the Regulator decides the information is not confidential, the provider has five working days to advise OROGO of its choice to either:
 - o Accept that the information will be made public or
 - Withdraw the information from the process.

Withdrawing Information

If the provider decides to withdraw the information from the process, the Regulator cannot consider it when making a decision on the application or other submission by the provider.

Information on Public Registry

The cover letter requesting confidentiality and the Regulator's decision will be posted on the public registry.



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4. CONFIDENTIAL INFORMATION AND PUBLIC HEARINGS

Contents

This section describes how confidential information will be handled during a public hearing process. It contains information on:

- The process for a party to a hearing to request access to confidential information; and
- The options for sharing confidential information with a party to a hearing.

Objective

Parties to a hearing can participate effectively while the confidential nature of some information is respected.

Requirements

Section 19(1) of OGOA authorizes the Regulator to hold a public hearing to carry out any of its powers, duties or functions.

Section 22(2) of OGOA recognizes that information of certain types, that meets certain narrow criteria, may be confidential.

Section 22(3) of OGOA recognizes that the Regulator may need to protect the confidentiality of certain information disclosed at a hearing because of the impact it may have on the provider's financial or competitive position.

Section 22(4) of OGOA recognizes that the Regulator may need to protect the confidentiality of certain information disclosed at a hearing because of the impact it may have on the security of operations or infrastructure.

Process for Requesting Access to Confidential Information

The process for requesting access to confidential information during a public hearing process is as follows:

- 1. The party wishing to access the information makes a written request to the Regulator for access, explaining the reasons why access is required.
- 2. The Regulator shares the request with the provider of the confidential information and asks for:
 - a. Any concerns or issues with sharing the information; and
 - b. Proposed options for sharing the information (see below).
- 3. The Regulator consults further with the party requesting the information and the provider of the information, as needed.
- 4. The Regulator decides whether to share the information and under what conditions.



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Options for Sharing Confidential Information

In order to support the hearing process, the Regulator may share confidential information with parties by:

- Sharing a copy of the document only if the party files an undertaking stating that it will hold the document in confidence and use it only for the purpose of the proceeding;
- Holding an oral hearing with limited access; or
- Using any other method to preserve the confidentiality of the information it sees fit.



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5. PERMITTED SHARING OF CONFIDENTIAL INFORMATION

Contents This section contains information on the situations where confidential

information may be shared by the Regulator.

Objective Providers of confidential information are aware of the situations where

that information may be shared with others.

Requirements Sections 22(5) to (8) and (10) of OGOA describe the situations in

which the Regulator may share information it agreed was confidential

under sections 22(2) to (4).

Sharing Confidential Information The Regulator may share confidential information with specific organizations for specific purposes, as shown in the following table:

| With | Purpose |
|----------------------|-------------------------------------------------|
| Unspecified | For the administration or enforcement of |
| | OGOA and its regulations |
| Unspecified | For legal proceedings in relation to the |
| | administration of enforcement of OGOA and |
| | its regulations |
| The Government of | To fulfill an agreement between the |
| Canada, a province | Government of the Northwest Territories and |
| or territory, or any | that government or organization relating to |
| organization | resource management and revenue sharing |
| representing any | associated with the exploration for or |
| Indigenous people | production of petroleum |
| of Canada | |
| The Government of | For the purpose of Northwest Territories, |
| Canada, a province | federal, provincial, territorial or foreign law |
| or territory, or a | that deals primarily with petroleum-related |
| foreign government | work or activity, including the management of |
| (or their agencies) | the resource |



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6. INFORMATION FILED BEFORE THE EFFECTIVE DATE

Contents This section describes how information provided to the Regulator

before MONTH DAY, YEAR (the effective date) will be managed.

Objectives Information provided to the Regulator before the effective date is

managed in a way that reflects the understanding of its confidentiality

at the time.

Requirements Section 22(13) of OGOA states that information provided to the

Regulator before the effective date and required to be made available

to the public under section 22(9) of OGOA will:

Remain public if it has already been made publicly available; or

 Will be made public after the time period set out in section 22(9) (see section 2 of these Guidelines for more information on the

time periods).

Other Information

Information provided to the Regulator before the effective date and not covered under section 22(9) of OGOA will remain confidential, unless the provider consented to its disclosure under the *Information*

Disclosure Guidelines.



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7. ACCESS TO INFORMATION AND PROTECTION OF PRIVACY ACT

Contents

This section describes how the Access to Information and Protection of Privacy Act (ATIPPA) relates to OGOA. It contains information on:

- Application of ATIPPA;
- Conflict between ATIPPA and OGOA; and
- Where to find ATIPPA.

Requirements Section 22(12) of OGOA states that, if there is a conflict or inconsistency between the requirements of section 22 of OGOA and ATIPPA, section 22 of OGOA prevails.

ATTIPA

Application of ATIPPA applies to information provided to the Regulator and produced by the Regulator under OGOA.

Conflict Between ATIPPA and OGOA

If there is a conflict between ATIPPA and the disclosure requirements for information provided to the Regulator for the purpose of OGOA or its regulations, the OGOA disclosure requirements prevail.

ATIPPA

Where to Find ATIPPA can be found at: www.justice.gov.nt.ca/en/legislation/#gnfilebrowse-0:/a/access-to-information-and-protection-of-privacy/.



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8. REGULATOR'S APPROVAL

These *Public Access to Information Guidelines and Interpretation Notes* are issued under section 18 of the *Oil and Gas Operations Act* effective MONTH DAY, 2020.

The Information Disclosure Guidelines, issued on May 5, 2016, are revoked.

Caroline Wawzonek



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