



Research article

Photic-zone euxinia and anoxic events in a Middle-Late Devonian shelfal sea of Panthalassan continental margin, NW Canada: Changing paradigm of Devonian ocean and sea level fluctuations



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ABSTRACT

The latest Eifelian – Frasnian strata of the Mackenzie Valley, NW Canada, provide an excellent archive of paleoceanographic signals imprinted in oxic and anoxic facies deposited in close proximity. Fondoformic black-shale strata preserve fingerprints of four global anoxic events (Kačák, Frasnes, Middlesex, and Rhinestreet), which receives confirmation with $\delta^{13}\text{C}_{\text{org}}$ data. The discovery of 2,3,6- and 3,4,5-trimethyl aryl isoprenoids (biomarkers of green sulfur bacteria) at and between the levels of anoxic events contributes to the growing evidence of photic-zone euxinia as a common state of oceanographically open and semi-restricted shelfal basins of the Middle Devonian – Early Mississippian; a condition impossible under present-day vigorous thermohaline circulation, but consistent with models of greenhouse ocean depicting drastic slowdown in watermass turnover, reversals of deep ocean circulation, greatly expanded oxygen minimum zones, and profoundly changed nutrient flows. The rocks under study were deposited in an oceanographically open basin with fluctuating chemocline as attested by unstable presence of gammacerane in GCMS spectra and co-occurrence of signatures of water-column euxinia and pyritized hyalosponge spicules indicating episodes of weak bottom oxygenation. Shallow-water carbonate banks in the same basin show signatures of reduced hydrodynamic activity and do not record sea level changes in excess of several meters, which lines up with the shortage of evidence for high-amplitude base-level fluctuations in coeval strata worldwide. This supports discarding changes in sea level as the principal control over Devonian anoxic events and instead suggests pulsatory expansions of thick, semi-continuous oxygen minimum zones of the greenhouse ocean. Sea level fluctuations could still be involved as non-glacial (thermal and aquifer?) eustatic transgressions of a very modest amplitude.

1. Introduction

The second half of the Devonian (~388–359 My) is marked by spread of anoxic/dysoxic fine-grained sediments (a.k.a. black shales) in many shelfal basins of the World (Fig. 1; Falkowski et al., 2011; Kabanov, 2019). This period of anoxic shelfal sedimentation protracted into the Lower Mississippian to comprise one of six Phanerozoic time bins of major source rock deposition (Klemme and Ulmishek, 1991). In Devonian-Mississippian sedimentary systems, black shales commonly associate with isolated carbonate banks (reefs, pinnacles, mud mounds) outposting carbonate shelves far into the basin (Playford, 1980; Stoakes, 1980; Meijer Drees, 1993; Stanton et al., 2000; Gatovskii et al., 2016; Knapp et al., 2017; Kabanov and Gouwy, 2017). Major sea level fluctuations have been proposed to temporally separate depositional phases of carbonate buildups and basinal sediments (Jewell, 1994;

House et al., 2000) and accommodate the evidence of hydrodynamic disturbance in black shales and their stratigraphic juxtaposition with oxic, apparently shallow-water fossiliferous facies (Brett et al., 2011; Knapp et al., 2017). However, debate seems to be everlasting in the shortage of rigorous evidence of eustatic fluctuations (Ettensohn, 1994; Hallam and Wignall, 1999; Bond and Wignall, 2008; Smith et al., 2019, 2020; Ver Straeten et al., 2020).

Global and local processes beyond this clearly non-actualistic condition of shelfal seas are poorly understood except for their strong causal relation with the greenhouse state of our planet (Algeo and Scheckler, 1998; Racki, 2005; Bond and Grasby, 2017; Carmichael et al., 2019; Percival et al., 2020). Most substantiated models involve atmosphere/ocean systems maintained in warm-greenhouse mode by successive and/or combined degassing in Vilyui, Dnieper-Donets, and Kola continental large igneous provinces (LIPs), as well as in a number

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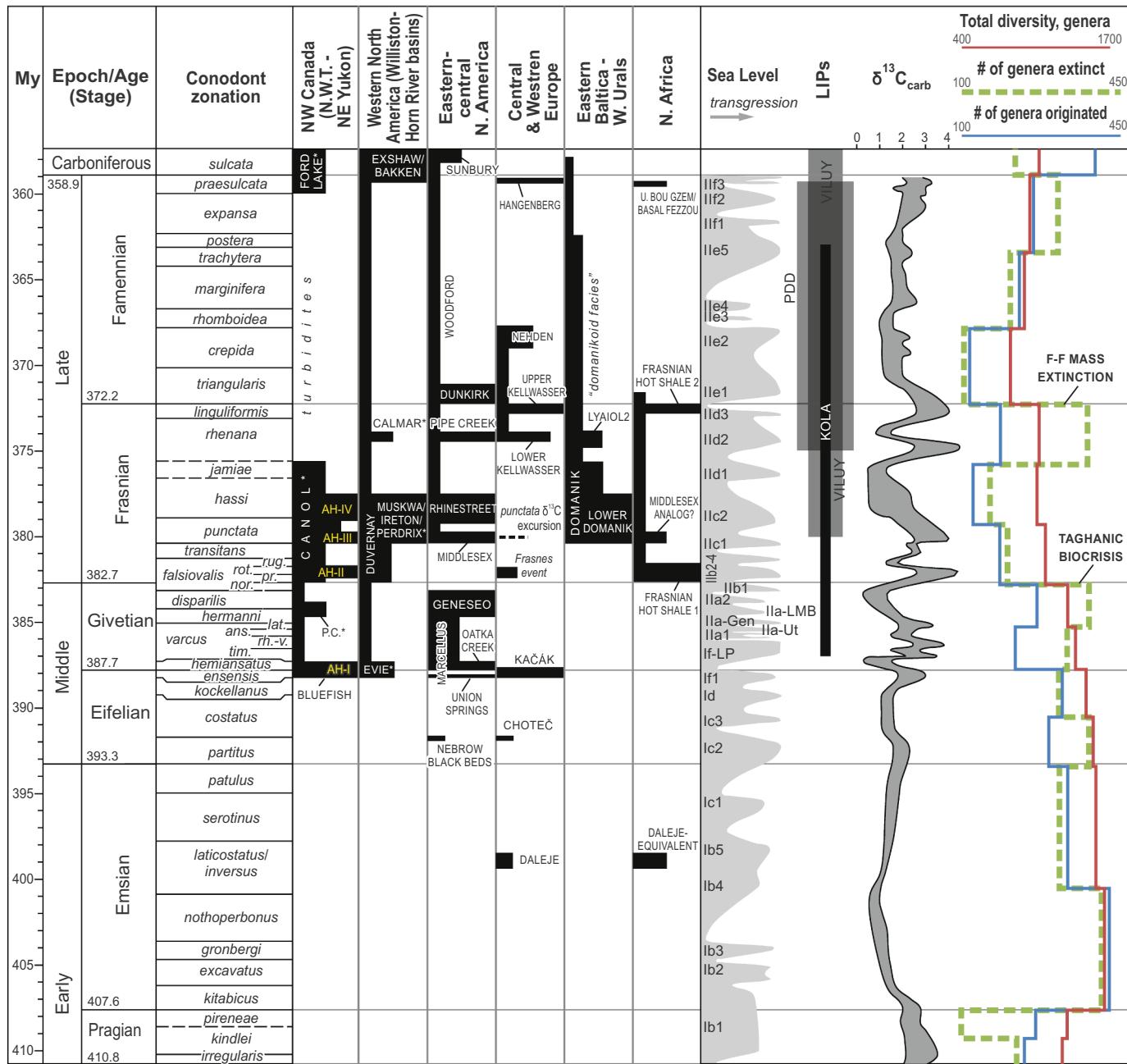


Fig. 1. Occurrence of black shales in six global regions in the post-Lochkovian Devonian plotted against sea level curve under discussion (redrawn from Becker et al., 2016), $\delta^{13}\text{C}$ (‰, VPDB) curve from skeletal carbonate (Saltzman and Thomas, 2012; Becker et al., 2012), extinction-origination curves for marine fauna (Paleobiology Database; supplementary materials of Melott and Bambach, 2014), and age ranges of Large Igneous Provinces (LIPs); modified from Kabanov (2019). Width of LIP bars represents relative volumes of emplaced effusives without differentiation between eruption pulses; their ages are updated based on the compilation of Racki (2020). Calibration of radiometric ages from Geologic Time Scale 2012 (Becker et al., 2012); these ages stay unchanged as of 2018 (Cohen et al., 2013, updated on 2018). Alternative conodont zones in Givetian-Frasnian (Narkiewicz and Bultynck, 2007; Becker et al., 2016); *tim.* = *timorensis*, *rh.-v.* = *rhenanus-varcus*, *ans.* = *ansatus*, *lat.* = *latifossatus/semialternans*, *nor.* = *norrsi*, *pr.* = *pristina*, *rot.* = *rotundiloba*, *rug.* = *rugosa*. Depophase indexing in the sea level curve is retained from Johnson et al. (1985). Sources for chronostratigraphic position of anoxic shales and age ranges of LIPs are given in Kabanov (2019). Block length in LIPs denote oldest and youngest absolute ages, and width reflects relative volume of emplaced basalts; PDD is Pripyat'-Dnieper-Donets Rifted Basin. Labelled in yellow are anoxic horizons (AHs) of the Horn River Group. (P.C.) is yet another possible horizon of enhanced anoxia in the middle of Prohibition Creek Member of the Hare Indian Formation (see text). Asterisks denote black shales with notably poor age constraints of their bases or tops. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

of smaller continental magmatic centers and volcanic arcs (Fig. 1; Kidder and Worsley, 2010; Kravchinsky, 2012; Bond and Wignall, 2014; Ernst et al., 2020; Racki, 2020), which gains support in the evidence that Kellwasser anoxic events and the collapse of marine ecosystems at the Frasnian-Famennian boundary associate with bursts of effusive activity (Racki et al., 2018). An alternative explanation links the

spreads of shelfal anoxia to the “top-down” eutrophication of shelfal seas caused by the erosion of soils which during the second half of the Devonian experienced dramatic expansion due to land afforestation (Algeo et al., 1995; Algeo and Scheckler, 1998; Carmichael et al., 2016, 2019; Percival et al., 2019), which, however, does not find support in paleosol and paleobotanical research (Retallack and Huang, 2011).

Table 1
Selected reports of PZE biomarkers in Middle Devonian – Lower Mississippian black shales.

Case study	Age range of samples	Lithostratigraphy	Basin	major biotic extinction	PZE biomarkers	Oceanographic regime of the basin
This study	Latest Eifelian – M. Frasnian ¹	Horn River Group (Canol, Bluefish)	Peel Shelf, Canada	None	trimethyl aryl isoprenoids; isorenieratane	Open ¹
Jiang et al. (2001), Aderoju and Bend (2018)	E. Famennian - L. Tournaisian ²	Balken Fm.	Williston Basin, Canada	None (D/C)	aryl isoprenoids; isorenieratane, paleorenieratane	Open to semi-restricted ³
Spaak et al. (2018)	L. Givetian - L. Frasnian ⁴	Gogo Fm.	Canning Basin, Australia	None (?LG)	chlorobactane, isorenieratane, paleorenieratane	Semi-restricted ⁵
Bushnev et al. (2016)	Middle Frasnian ¹⁰	Domanik Fm.	Timan-Pechora Basin, Russia	None	isorenieratane, β -isorenieratane	NED
Brown and Keng (2004)	L. Givetian - E. Mississippian	New Albany Fm., Antrim Fm.	Michigan and Illinois basins, U.S.A.	F/F (LG; D/C)	isorenieratane; C_{40} diaryl isoprenoid (compound X)	Semi-restricted ³
Joachimski et al. (2001)	L. Frasnian - E. Famennian ⁶	Organic rich shales and carbonates of Lower and Upper Kellwasser black shales	Holy Cross Mts., Poland	F/F	Isorenieratane; diaryl isoprenoids	NED; carbonate-shelf facies interbedded with black shales ⁶
Marynowski et al. (2008)	M. Frasnian	Organic rich shales and carbonates equivalent to Rhinestreet and Middlesex sourcerocks	Holy Cross Mts., Poland	None	Isorenieratane; diaryl isoprenoids	<i>Ibid.</i>
Marynowski and Filipiak (2007), Marynowski et al. (2012)	L. Famennian - E. Tournaisian	Organic rich shales equivalent to Hangenberg black shale	Holy Cross Mts., Poland	None (D/C)	isorenieratane; aryl isoprenoids	Open to semi-restricted ³
Connock et al. (2018)	E. Frasnian-E. Tournaisian	Woodford Fm.	Arkoma Basin, U.S.A.	F/F (?D/C)	paleorenieratane, isorenieratane, renierupurpurane	NED
Requejo et al. (1992)	E.-M. Frasnian ⁹	Duvernay Fm.	Western Canada	None	Aryl isoprenoids; isorenieratane	NED
Riboulleau et al. (2018)	L. Frasnian - Famennian	Aouinet	Sedimentary Basin	NED; F/F	palaerenieratane; isorenieratane; aryl isoprenoids	NED; likely semi-restricted ⁸
Haddad et al. (2016)	L. Frasnian-E. Famennian ¹¹	Ouenine Fm., units III and IV	Ghadames Basin, Libya	NED; F/F	trace isorenieratane and palaerenieratane; no isorenieratane at F/F level	NED
Haddad et al. (2016)	L. Frasnian-E. Famennian ³	Tomachi Fm., Upper Kellwasser equiv. interval	Madre de Dios Basin, Bolivia	F/F	low abundance of aryl isoprenoids and palaerenieratane; trace isorenieratane	Semi-restricted ³
Philip and DeCarlo (2020)	Givetian-Famennian ¹²	Hannover Fm., Upper Kellwasser equiv. interval	Appalachian Basin, U.S.A.	NED	trimethyl aryl isoprenoids; isorenieratane	Open ³
		Woodford Fm.	Oklahoma Basin, U.S.A.	NED		

Note: Records from related oils are omitted. The Givetian-Lower Mississippian includes one major biotic extinction at the Frasnian-Famennian boundary (F/F). Minor biotic perturbations are parenthesized: (LG) Late Givetian extinction and (D/C) Devonian/Carboniferous boundary turnover. NED = not enough data. References to geologic age and paleoceanographic settings: ¹Kabanov (2019); ²Johnston et al., (2010); ³Algeo and Tribouillard (2009); ⁴Nicoll, (1984); ⁵Spaak et al. (2018); ⁶Narkiewicz (2007); ⁸Riboulleau et al. (2018); ⁹Wendte and Uyeno (2005); ¹⁰Gatoyskii et al. (2016); ¹¹Haddad et al. (2016); ¹²Philip and Degarmo (2020).

Noteworthy, the known absolute ages of effusives in the Devonian continental LIPs are younger than the mid-Devonian (latest Eifelian) onset of widespread black shale sedimentation (Fig. 1), which is probably accounted for the incompleteness of absolute age records (Bond and Wignall, 2014; Ernst et al., 2020). This mid-Devonian shift in global condition is referred to as the Kačák or Kačák-*otomari* Event (House, 1996; Van Hengstum and Gröcke, 2008).

Extreme spreads of anoxic facies have been correlated globally as the Devonian black shale or anoxic events (House, 1983, 2002; Sandberg et al., 2002; Bond et al., 2004; Racki, 2005; Becker et al., 2016). Some, but not all, of these black shale events are coupled with biotic perturbations (Fig. 1) ranked as major in ecological severity (McGhee Jr. et al., 2013) and minor or moderate except for the Frasnian/Famennian in net taxonomic loss (Melott and Bambach, 2014). Basins where Devonian anoxic events are well-documented include those with signatures of impeded watermass exchange and those with evidence of oceanographic openness (Table 1; Carmichael et al., 2019). In the Devonian eustatic sea-level curve of Johnson et al. (1985) and its later modifications, anoxic horizons are seen as a result of genuine deepening or global transgressions (Sandberg et al., 2002; Arthur and Sageman, 2005; Ver Straeten et al., 2011; Brett et al., 2011), and this sea-level curve is the most common reference in case studies today (e.g., Pyle and Gal, 2016; Fraser and Hutchison, 2017; Dong et al., 2018; Philip and DeGarmo, 2020).

On the other hand, insights into the nature of the Middle Paleozoic anoxic events indicate possible links with profound change of circulation pattern and expansion of oxygen minimum zones (OMZs) of the ocean into shallower depth (Meyer and Kump, 2008), which is consistent with extensive biomarker evidence of photic-zone euxinia (PZE) at and between the stratigraphic levels of Middle Paleozoic biotic perturbations (Table 1 and references therein). It should be noted that the idea of oceanic circulation reversal as a critical component of the greenhouse planetary condition had century-long incubation period, but only recently growing knowledge pushed it into mainstream science (Hay and Floegl, 2012). Here we report more evidence from a Panthalassa-facing shelfal sedimentary system and contend that factors other than sea level rise controlled the spreads of black shales in Middle Paleozoic shelfal seas.

2. Geologic and palaeogeographic context

The study area is situated in the northwestern part of the Ancestral North America (ANA; Fig. 2A). The ANA refers to the main part of Laurentia as opposed to cordilleran terranes accreted during Mesocenozoic (Gabrielse and Yorath, 1991; Nelson et al., 2013). The Peel Platform (a.k.a. Peel Shelf, Mackenzie or Mackenzie-Peel Platform) refers to the Lower Paleozoic – Eifelian shallow-water carbonates of the northwestern ANA (Fallas et al., in press). The Peel Platform is bordered from the west by the Richardson and Selwyn shale basins (Fig. 2A) and from the east by the erosional truncation towards the Laurentian Shield. The Hume limestone in the top of the Peel Platform succession is overlapped with the geographically extensive drowning unconformity (*sensu* Schlager, 1989) by the basal black shale unit of the Horn River Group (HRG) called Bluefish Member (Kabanov and Gouwy, 2017; Kabanov, in press).

The HRG occurs in the Laramide cordillera and in the subsurface of adjacent interior plains of Northwest Territories (Fig. 2B) and is composed of the Hare Indian, Ramparts, and Canol formations (Fig. 2C). The most accurate age brackets available to date are provided by conodonts (Fig. 1B; data of S. Gouwy reviewed by Kabanov, 2019; Gouwy, in press). The occurrence of thick (150–400 m) Hare Indian and Ramparts strata defines the BAT (bank-and-trough) palaeogeographic area (Fig. 2B,C; Kabanov and Deblonde, 2019). The Bell Creek Member is a thick (up to 190 m) succession of grey, variously calcareous shales and siltstones with a mixture of benthic and pelagic fossils overlying the Bluefish Member and representing clinoformic basin fills or “deltaic

shale lobes” prograded from the easterly located Laurentian landmass (Muir and Dixon, 1985; Kabanov et al., 2016a). The Ramparts Formation is composed of three main parts (Gal et al., 2009) and is best described as carbonate banks (Yose et al., 2001) outgrowing thick shale lobes of the Hare Indian Formation (Fig. 1B). The informal lower platform member refers to an argillaceous and silty limestone overlying, and partly intergrading with, the upper Bell Creek. The Carcajou unit is a thin (< 3.0 m) limestone with benthic fauna distinguished by its dark argillaceous matrix and elevated gamma-ray response. The Kee Scarp Member is a portion of the Ramparts Formation developed above the Carcajou as spatially restricted carbonate banks (Gal et al., 2009). In the best studied example from Norman Wells oilfield, the Kee Scarp reveals the backstepped stacking pattern of subtidal-intertidal parasequences (Yose et al., 2001). Some carbonate banks are aproned by thin (0.1–6.0 m) “allochthonous limestones” composed of graded laminated calcisiltites and calcarenites interbedded with Canol shales (Mackenzie, 1973; Pugh, 1983).

The Canol Formation is the main prospect for shale hydrocarbons in the region (AANDC, 2014; Pyle and Gal, 2016; Kabanov and Gouwy, 2017). It is composed of laminated siliceous pyritic shale, pelagic (radiolaria-rich) chert, and minor siltstone, authigenic limestone and dolomite (Pyle and Gal, 2016; Kabanov and Gouwy, 2017). The Canol Formation is mostly thin (< 20 m) in BAT and disappears on tops of tallest carbonate banks intersected by wells (Fig. 1B; Pugh, 1983; Kabanov and Deblonde, 2019), but just south of Norman Wells it thickens to 60–120 m while the Hare Indian Formation thins inversely into a black shale dominated package. Kabanov and Gouwy (2017) named this paleogeographic zone *the southern off-bank area* (SOB), and it is where the sections discussed in this paper are located (Fig. 2B). The western off-bank area (WOB) is defined by similar thickening of the Canol Formation and inverse thinning of the Hare Indian Formation to the west of 130° meridian. Further west, across 132°N, the entire Hare Indian Formation is considered merging into the Canol Formation as its gamma and resistivity log signatures disappear in response to attenuation of siliciclastic components (Kabanov and Deblonde, 2019; Kabanov, in press). In off-bank areas, the HRG is fonoformic (*sensu* Rich, 1951), and its superficially monotonous black shale lithology impedes its subdivision with conventional observations justifying usage of the “Horn River Group undivided” map unit (Fallas and MacNaughton, 2013, 2019). In the lithostratigraphy of these fonoformic strata proposed by Kabanov and Gouwy (2017) for the SOB area, subdivision of the HRG and the basal Imperial shales heavily relies on well logs and gamma spectrometry surveys of outcrops, but lithologic observations of cores and outcrops are also entered in definition of lithostratigraphic units. This lithostratigraphy is now carried over to WOB area (Kabanov and Deblonde, 2019; Kabanov, in press) and used in this work (Figs. 2C, 3, and 5).

The Canol Formation grades upward into the fine clinoformic siliciclastics of the Imperial Formation. These siliciclastics deposited in the Ellesmerian foreland setting encroached from the present-day North by early Late Frasnian, Laurentia remained the main sourceland for the study area during the Imperial deposition (Lane, 2007; Hadlari et al., 2009; Beranek et al., 2010). In this paper, the basal Imperial is an informal reference to the grey and dark shales of the Mirror Lake and Loon Creek members between the top of Canol Formation and the base of the Canyon Member (Figs. 2C and 3).

2.1. Terminology note: usage of “fonoformic strata”

Rich (1951) introduced the terms clinoform, undaform, and fonoform to describe three critical depositional environments, which were the generalizing expansions of the deltaic foreset, topset, and bottomset, correspondingly. Only the first of this triade is universally used in the geological lexicon today owing to its expansion to the entire sigmoid, including the bottomset and the topset, in the mainstream seismic and sequence stratigraphy (Steel and Olsen, 2002; Patruno and

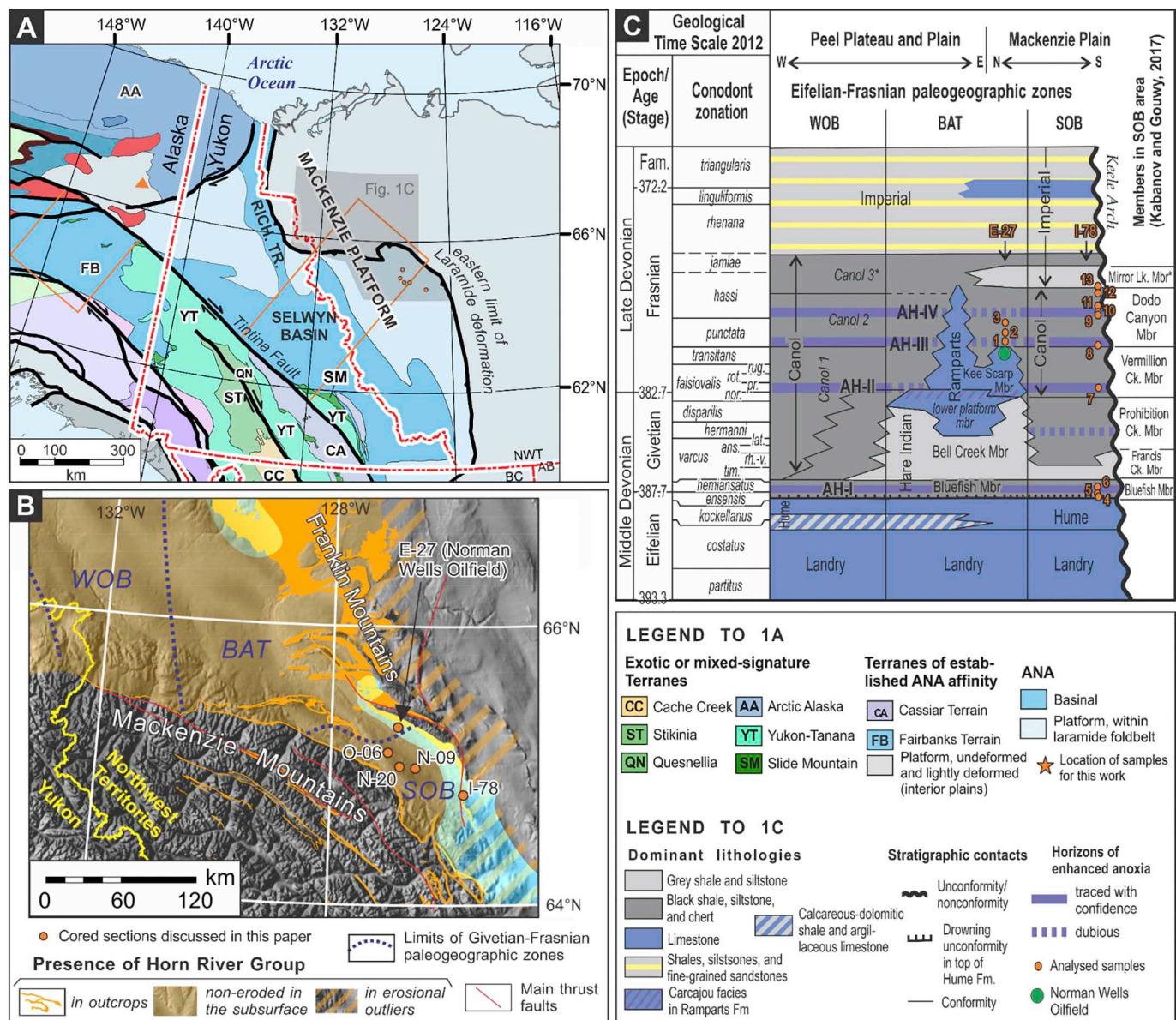


Fig. 2. Structural, paleogeographic, and stratigraphic context. Orange circles on (A) and (B) are wells appearing in this paper: (I-78) East Mackay I-78, (E-27) Mackenzie River # 4 (E-27), (O-09) Little Bear N-09, (N-20) Mirror Lake N-20, and (O-06) Loon Creek O-06. (A) Map of cordilleran terranes (adapted from GIS project in Colpron and Nelson, 2011). Basinal and platformal areas of the Ancestral North America (ANA) are adapted to Devonian paleogeography. The shaded area is assessed by the stratigraphic chart on part figure (C). Rich.Tr. = Richardson Trough. Torn orange rectangle denotes approximate restored paleogeographic profile on Fig. 7. (B) Givetian-Frasnian paleogeographic zones and HRG occurrence in outcrops and the subsurface; highlighted are zones of low thermal maturity yielding samples for biomarker study (based on data in Pyle et al., 2015 and Kabanov, 2017); basemap is the enhanced topographic model. Paleogeographic zones: WOB = western off-bank, BAT = bank and trough, SOB = southern off-bank. (C) The Eifelian-Frasnian chronostratigraphic chart (modified from Kabanov and Deblonde, 2019), horizons of enhanced anoxia (Kabanov et al., 2019), and position of samples for biomarker study. Conodont zonation is based on summary and new data of S.A. Gouwy (reviewed in Kabanov, 2019). Samples in reference to measured core depth (m) in Mackenzie River # 4 (E-27): (1) 403.34; (2) 402.67; (3) blended samples from 399.00, 398.66, 398.33, and 398.00 m. In East MacKay I-78: (4) 1956.5; (5) 1953.0; (6) 1944.00; (7) 1873.00; (8) 1855.00; (9) 1844.00; (10) 1841.00; (11) 1831; (12) 1824.00; and (13) 1820.00. See Jiang et al. (2020) for more information on biomarker study samples.

Holland-Hansen, 2018). This approach, however, is too simplistic and depraves sedimentary blankets of basin floors in their own right. Basinal sediments are often dominated by pelagic material and possess stratigraphic architecture unrelated to siliciclastic provenance. We are not alone who reinstate undeservedly neglected usage of Rich's (1951) "fondoform" (e.g., Schlager, 2005), and the same rationale likely applies to the concept of undaform (Schlager, 2005).

3. Samples and methods

This paper reports on new organic geochemistry and stable organic-

carbon isotope results from diamond-drill cores and sums up observations on the stratigraphic distribution of sponge spicules. Supplementary materials for this paper include stable carbon isotope data of organic matter ($\delta^{13}\text{C}_{\text{org}}$, ‰ VPDB notation) and their acquisition protocols, as well as SEM and light-microscope identification of sponge spicules and character of their pyritization.

3.1. Organic geochemistry samples

The organic geochemistry samples were obtained from Mackenzie River E-27 and East MacKay I-78 wells located in the relatively low-

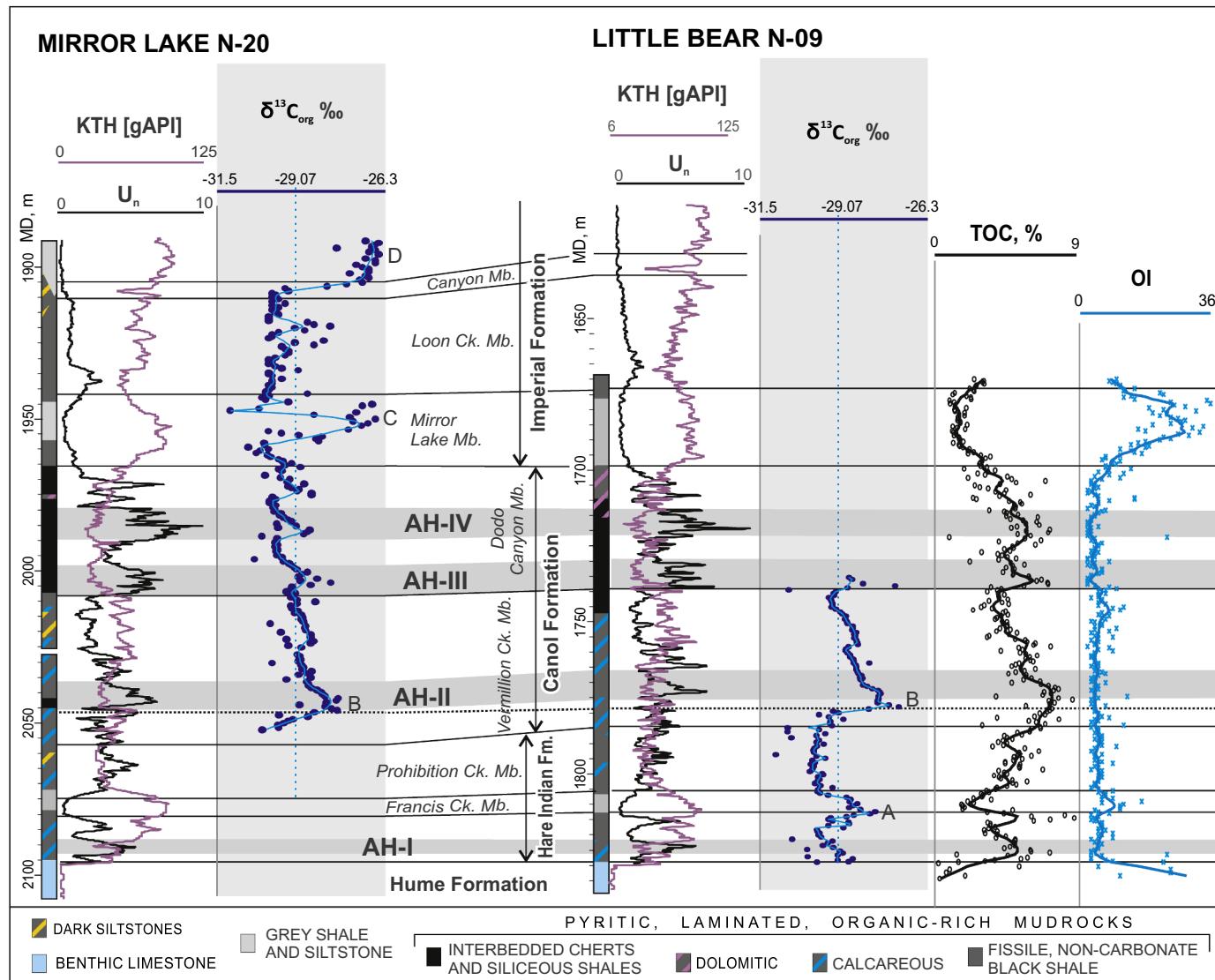


Fig. 3. Two cored sections of the HRG and the basal Imperial Formation in SOB area. Each section is characterized with gamma spectrometry proxies KTH and U_n from geophysical spectralogs and $\delta^{13}\text{C}_{\text{org}}$. The Rock-Eval pyrolysis parameters TOC and OI are available for Little Bear N-09 well only. Dotted vertical line is the $\delta^{13}\text{C}_{\text{org}}$ median for the HRG in both wells. Curves on $\delta^{13}\text{C}_{\text{org}}$, TOC, and OI cross-plots are LOWESS regression lines with α -tension 4%. Data points for $\delta^{13}\text{C}_{\text{org}}$ and OI are truncated at 0.95 percentile. Legend at the bottom decodes observed core lithology in simplified form.

maturity (oil window) zone (Figs. 2B,C; Table 2). Selection of less mature sections was critical as samples from the adjacent area of more advanced thermal maturity showed correspondingly advanced elimination of indigenous organic compounds (Jiang et al., 2020). Powdered shale rock samples have been subjected to solvent extraction, open column chromatographic separation and gas chromatography–mass spectrometry (GC–MS) analyses as briefly described below. Samples for biomarkers were taken from 1 to 2 cm thick stratigraphic intervals where they incorporate many individual sedimentary laminae which typically range in thickness from 0.1 to 1.0 mm.

3.2. Solvent extraction and column chromatographic separation

Five to 20 g of powdered rock from each sample was subjected to Soxhlet extraction for 72 h using dichloromethane as solvent. Activated copper grains were added to the extract content at the end of extraction to remove any elemental sulfur. After removing most of solvent using a rotary evaporator, the extract contents were filtered to remove copper, copper sulfide and any other solids. The residue was retained and weighed as total extracts after removal of the remaining solvent using a

gentle stream of nitrogen.

Rock extracts were de-asphaltened with *n*-pentane and then separated into saturated, aromatic and resin fractions by column chromatography using a mixture of silica gel and alumina (1:3 by weight) as support. *n*-Pentane (3.5 mL per gram of support) was used to elute the aliphatic fraction, followed by *n*-pentane/dichloromethane (1:1 by volume and 4 mL per gram of support) to isolate the aromatic fraction. Methanol (4 mL per gram of support) and chloroform (6 mL per gram of support) were finally used to obtain the resin fraction.

3.3. GC and GC–MS analysis of saturated and aromatic fractions

GC analysis of saturated fractions was completed on a Varian 3700 GC equipped with a flame ionization detector (FID). A 30 m \times 0.25 mm \times 0.25 μm DB-1 fused silica capillary column was used with helium as carrier gas. The samples were injected using a split injector heated to 320 °C. The temperature program was as follows: 60 °C (at 6 °C/min) \rightarrow 300 °C (30 min). The FID temperature was maintained at 320 °C.

GC–MS analysis of saturated fractions was carried out on an Agilent

Table 2
Organic geochemical parameters indicating thermal maturity and depositional environments for the Canol and Bluefish samples in this study.

Depth (m)	Stratum	Pr/Ph	C29H/ C30H	Ts/Tm	C23T/ C30H	C24Tet/ C26T	C29St/ C30H	Gamma/ C30H	C29 St: S/(S + R)		C32H: S/(S + R)	DBT/ Phen	C18 TAI/ AB	Trimethyl aryl isoprenoids		
									C27	C28	C29			C18	C ₁₃ -C ₂₂	C ₁₅ -C ₃₁
1820	Canol- Imperial	1.55	0.35	2.62	0.43	0.22	0.64	0.07	38.6	14.9	46.5	0.53	0.60	0.13	15.11	37.9
1824	Canol- Imperial	1.69	0.45	1.92	0.70	0.20	0.65	0.05	38.4	16.0	45.6	0.54	0.61	0.14	34.44	41.7
1831	Canol	1.54	0.39	2.39	0.53	0.17	0.69	0.06	34.7	15.7	49.5	0.54	0.59	0.19	36.11	60.5
1841	Canol	1.27	0.60	1.22	0.85	0.20	0.78	0.07	35.7	17.8	46.6	0.53	0.61	0.30	32.32	57.5
1844	Canol	1.32	0.64	1.06	0.88	0.25	0.75	0.10	36.7	18.2	45.1	0.52	0.61	0.32	40.29	136.7
1855	Canol	1.36	0.63	1.20	0.98	0.23	0.68	0.11	36.4	18.0	45.6	0.51	0.60	0.25	32.01	96.8
1873	Canol	1.21	0.62	1.77	1.00	0.20	0.90	0.10	37.2	16.3	46.4	0.53	0.61	0.30	82.52	87.2
1944	Bluefish	1.28	0.40	6.63	3.25	0.19	4.12	0.16	37.0	15.1	47.9	0.51	0.58	0.37	10.89	26.1
1953	Bluefish	1.18	0.40	9.80	2.83	0.17	3.04	0.23	34.5	15.5	50.0	0.52	0.62	0.33	11.00	23.7
1956.5	Bluefish	1.30	0.40	5.20	3.02	0.26	3.23	0.21	38.0	16.2	45.8	0.51	0.59	0.43	8.95	34.7
398	Canol	1.42	0.66	0.37	0.67	0.33	1.10	0.14	36.9	15.9	47.3	0.50	0.61	0.35	26.85	62.9
402.67	Canol	1.42	0.71	0.48	0.70	0.31	1.13	0.10	35.5	17.4	47.2	0.50	0.60	0.35	14.03	72.8
403.34	Canol	1.83	0.78	0.50	0.86	0.44	1.16	0.11	37.5	17.2	45.4	0.50	0.60	0.27	12.41	86.3

Note: Pr/Ph, Pr/nC17, Ph/nC18: ratios among pristane, phytane, and C₁₈ n-alkanes; C29H/C30H: C₂₉ over C₃₀ hopanes ratio; Ts/Tm: 18 α -22,29,30-trisnorhopane over 17 α -22,29,30-trisnorhopane; C23T/C30H: C₂₃ tricyclic terpane over C₃₀ hopane; C24Tet/C26T: C₂₄ tetracyclic over C₂₆ tricyclic terpanes; Gamma/C30H: gammacerane over C₃₀ hopane; DBT/Phen: dibenzothiophene over phenanthrene; C18 TAI/AB: ratio of C₁₈ trimethyl aryl isoprenoids over C₁₈ alkyl benzene; C₁₈: concentration of C₁₈ trimethyl aryl isoprenoids in $\mu\text{g/g}$ of extract; C₁₃-C₂₂ and C₁₅-C₃₁: concentrations in $\mu\text{g/g}$ of total organic carbon.

Triple Quad MS system in selected ion monitoring (SIM) mode. Split injection (1:10) was employed into a HP-5MS 30 m \times 0.25 mm \times 0.25 μm capillary column with helium as carrier gas at a flow rate of 1.2 mL/min. Mass spectrometer ion source was operated at 70 eV ionization voltage.

GC-MS analysis of the aromatic fractions was performed on an Agilent 6890 series GC coupled to a 5973 series Mass Selective Detector operated in SIM mode. Split injection was employed into a J&W DB-5 30 m \times 0.32 mm \times 0.25 μm capillary column at 300 °C temperature. Helium was used as carrier gas at a flow rate of 1.2 mL/min. The GC oven temperature was programmed as follows: 40 °C (at 4 °C/min) \rightarrow 325 °C (15 min).

Compound identification was based on comparison of GC retention times and mass spectra with those reported in literature and historical data in the GSC-Calgary organic geochemistry laboratories. Appropriate volumes of mixture solutions of internal standards including naphthalene-d8, pHenanthrene-d10 and 1,1-binaphthyl were added to the aromatic fractions and C₂₉ cholestan-4 α added to the saturated fractions before GC-MS for quantification purposes.

3.4. Other analyses

Also discussed herewith are the ICP (induced coupled-plasma) elemental geochemistry and Rock-Eval pyrolysis results. These data and their acquisition protocols were recently published (Jiang et al., 2016; Kabanov and Gouwy, 2017; Kabanov, 2019), which spares this paper from repetition. The ICP analyses were done for major and trace elements, supplemented by LECO combustion data for total sulfur and carbon. The Rock-Eval 6 pyrolysis-combustion analysis was used to generate total organic carbon (TOC), oxygen index (OI), and hydrogen index (HI) proxies discussed in this paper. Also mentioned are ED-XRF elemental logs from the Kugaluk N-02 well. This technique is amply discussed by Kabanov et al. (2020).

The gamma spectrometry as applied to HRG strata is reviewed in preceding publications (Kabanov et al., 2016b, 2019; Kabanov, 2019). Three spectral gamma proxies found most useful in redox chemostratigraphy (Figs. 3 and 4) are calculated from the U, Th, and K concentrations:

$$\text{SGR[API]} = 4 * \text{Th[ppm]} + 8 * \text{U[ppm]} + 16 * \text{K[wt\%]} \quad (1)$$

$$\text{KTH[API]} = 4 * \text{Th[ppm]} + 16 * \text{K[wt\%]} \quad (2)$$

$$U_n = 0.29 * \text{U[ppm]} * \text{K[wt\%]}^{-1} \quad (3)$$

The concentrations of U, Th, and K are in turn automatically computed in the instrument from spectral series of natural gamma radiation (Ellis and Singer, 2007). Empirical formulas (1) and (2) are widely used as an approximation to total gamma response in API units and its U-stripped component, respectively (e.g., Ellis and Singer, 2007). KTH, also sometimes mnemonized as CGR (computed gamma-ray), is a popular proxy to siliciclastic input (Ellis and Singer, 2007). U_n is mimicking U*Al⁻¹, the proxy for authigenic U enrichment (e.g., Tribouillard et al., 2006). Substitution of Al with K was made based on strong linear covariation of Al and K observed on 1756 ICP elemental data from the shaly units of the HRG and the basal Imperial Formation (Kabanov, 2019). The slope of 0.29 in formula (3) is a regional signature specific for the siliciclastic provenance.

4. Redox stratigraphy of the horn river group

4.1. Elemental geochemistry and horizons of enhanced anoxia

At least four horizons of enhanced anoxia (AHs) were revealed in the HRG in Little Bear N-09 and Loon Creek O-06 sections based on Al-normalized Mo and U logs from ICP elemental data (Kabanov, 2019), as these trace metals are widely used in elemental proxies interpreting

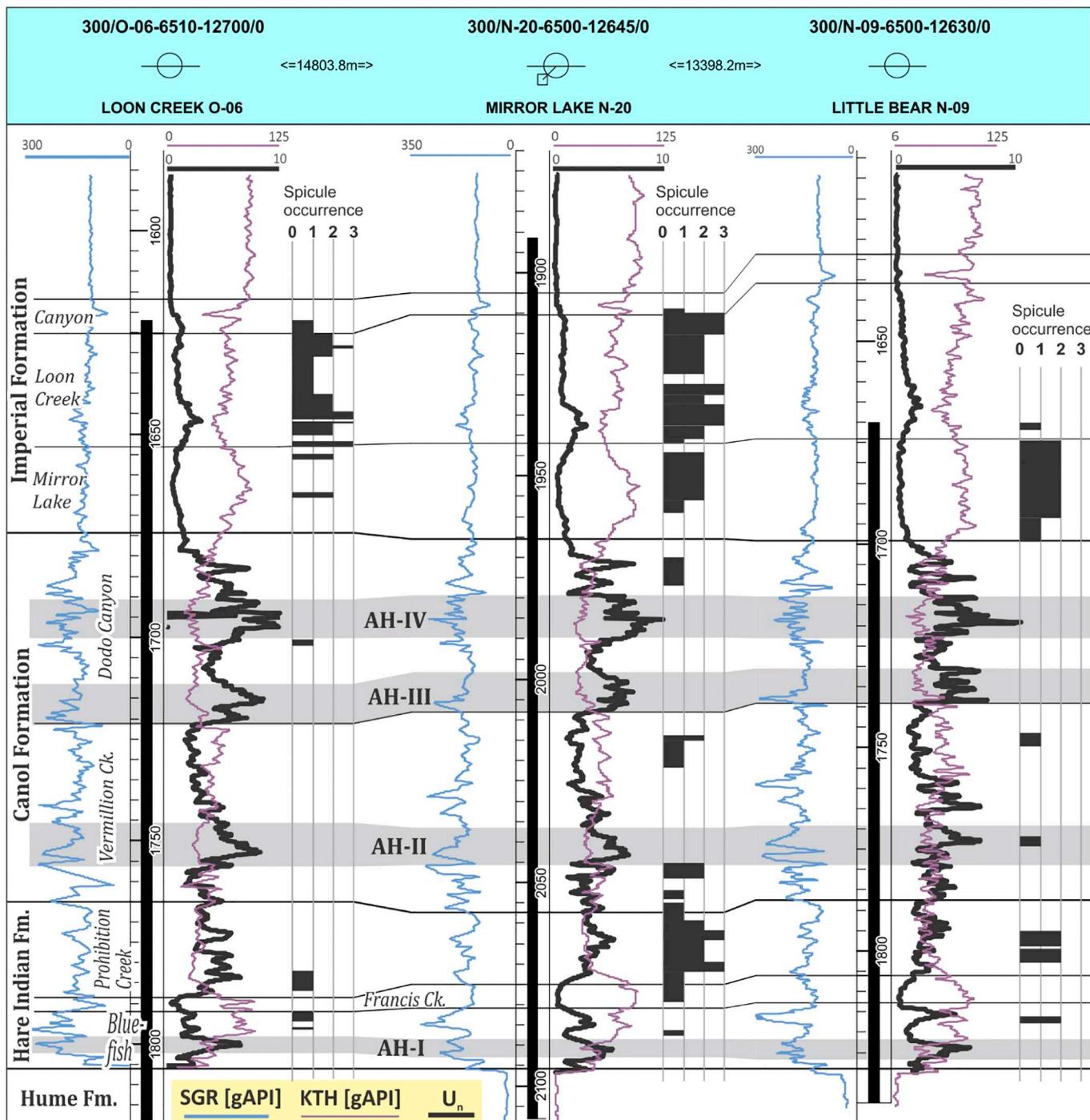


Fig. 4. Occurrence of hyalosponge spicules plotted against spectral gamma-ray proxies in three wells of central Mackenzie Valley (Fig. 2B). Black bars denote core coverage in each well. Occurrence of sponge spicules: (0) no spicules; (1) rare spicules; (2) common spicules – singular spicules are commonly encountered, a few bedding planes may contain spicule meshes; (3) abundant spicules – common spicule meshes on bedding planes.

anoxic sediments (Tribouillard et al., 2006). The revealed AHs, except for AH-I in the HRG base, are characterized by attenuated siliciclastic components as attested by major oxides Al_2O_3 , K_2O , TiO_2 , and Fe_2O_3 that are known to dominantly reside in siliciclastic detritus (Kabanov, 2019). AHs are partly or completely coincide with horizons of the highest organic-matter richness expressed in TOC (Fig. 3). Very low OI on these horizons (Fig. 3) indicates overwhelmingly planktonogenic origin of kerogen.

The Prohibition Creek Member also exhibits high levels of Al-normalized Mo in Loon Creek O-06 and Little Bear N-09 wells. This enrichment is peering with, and partly exceeding, molybdenum levels at

main anoxic horizons (Kabanov, 2019). However, U proxies from ICP and gamma spectrometry do not show equally pronounced enrichment at this interval, and most of wells with available spectralogs do not show U enrichment at all, suspending its interpretation as yet another AH (Kabanov, 2019).

The gamma spectrometry proxies are in excellent agreement with ICP elemental logs from Little Bear N-09 and Loon Creek O-06 wells (Kabanov, 2019). KTH and U_n allow to trace AHs where elemental geochemistry data are not available, particularly in recently drilled exploration wells and the adjacent outcrops of Norman Range within SOB and across the facies zonation in the Rumbly Creek outcrop of the

WOB (Kabanov, 2019). The AHs persist in the fonoformic strata over hundreds of kilometers, as indicated by ED-XRF elemental logs from the continuously cored well Kugaluk N-02 located in ~400 km northward of SOB (Kabanov et al., 2020). AH-III and AH-IV cannot be separated in the thin Canol Formation of the BAT facies zone, and available data do not permit recognition of AH-II there although speculation is made that AH-II may trace as the Carcajou Member of the Ramparts Formation (Fig. 2C; Kabanov, in press).

4.2. Carbon isotope chemostratigraphy

The $\delta^{13}\text{C}_{\text{org}}$ data from the HRG range from -31.5 to $-26.4\text{\textperthousand}$ in their 0.95 percentile bin (Fig. 3), which is perfectly within the range of other Middle-Late Devonian black-shale basins (Van Hengstum and Gröcke, 2008; Meyers, 2014), as well as Triassic and Jurassic black shales based on the compilation of Meyers (2014). The geographically nearest $\delta^{13}\text{C}_{\text{org}}$ data available from the HRG equivalent black-shale strata show the same range (Fraser and Hutchison, 2017). For the discussion purpose, the organic matter is considered enriched in ^{13}C if $\delta^{13}\text{C}_{\text{org}}$ crosses the HRG median of $-29.07\text{\textperthousand}$ to the right field with higher values (Fig. 3).

The most pronounced positive $\delta^{13}\text{C}_{\text{org}}$ excursions are labelled with capital letters on Fig. 3: (A) in the upper Bluefish-Francis Creek ($\sim -28.0\text{\textperthousand}$); (B) in the base or just below the AH-II ($-27.8\text{\textperthousand}$, max. $-27.27\text{\textperthousand}$ in Little Bear N-09); (C) in the middle of Mirror Lake member (about $-27.1\text{\textperthousand}$, max. $-26.4\text{\textperthousand}$); and (D) in the Imperial shale in and above the Canyon Member ($-27.0\text{\textperthousand}$ to $-26.4\text{\textperthousand}$). Positive $\delta^{13}\text{C}_{\text{org}}$ excursions A and C correlate with spikes of OI, which in these rocks is a signature of vitrinite enrichment or Type-III organic matter (Fig. 3). Although pyrolysis data are not available above the Loon Creek Member, contribution of coaly particles to the shift D is of little doubt as attested on Fig. 3 by high KTH and core observations revealing coaly detritus and dominance of siliciclastic fines in shale composition (Kabanov and Borrero Gomez, 2019).

The $\delta^{13}\text{C}_{\text{org}}$ excursion B does not associate with spikes of terrigenous material and appears to be related to AH-II or, more precisely, to its prelude preceding, and overlapping with, the main phase of U and Mo drawdown from the water column into the sediment (dotted line on Fig. 3). The siliciclastics-lean mudrocks between AHs mostly occur at $\delta^{13}\text{C}_{\text{org}}$ values $\leq 29.9\text{\textperthousand}$. This negative background accentuates AH-III and AH-IV as having modest yet distinct ^{13}C enrichments crossing the HRG median (Fig. 3). The lower-middle Bluefish Member containing AH-I exhibits broad spread of data in $-30.1\text{\textperthousand}$ to $-27.9\text{\textperthousand}$ range with the regression values literally at the HRG median (Fig. 3).

5. Sponge spicules in the horn river group

Hand-lens observations of bedding/fissility planes reveal presence of hyalosponge spicules in cores (Kabanov et al., 2016b; Kabanov and Borrero Gomez, 2019) and outcrops (Kabanov et al., 2019). In dark laminated mudrocks and cherts of the HRG and in similar facies of the basal Imperial Formation, spicules are notably small in size and completely replaced by pyrite (Fig. 5 and Supplementary Appendix 2). No other benthic shelly fossils or metazoan-produced ichnofossils were encountered in anoxic laminated facies. Shales and siltstones of the basal Imperial Formation show overall less severe textural and geochemical signatures of oxygen deficiency (Kabanov and Gouwy, 2017; Kabanov, 2019). Correspondingly, hyalosponge spicules occur in the basal Imperial in plenty (Fig. 4), and many of them, especially larger ones, avoided pyritization (Fig. 5B). SEM examination indicates mimical character of pyrite replacement with perfectly preserved spicule structure (Supplementary Appendix 2). Pyrite replacement of hyalosponge spicules is also known from other world localities of Devonian black shales (e.g., Vishnevskaya et al., 2002).

It should be noted that discontinuous occurrence of sponge spicules in cores (Fig. 4) is a function of taphonomy to much greater extent than

real presence-absence. Hard monolithic cores of siliceous mudrocks and cherts of the Canol Formation split along stylolitized bedding planes where no subtle structures such as sponge spicules are preserved. Stylolitization results in similar removal of subtle bedding-plane structures in hard calcareous mudrocks which are abundant in the Hare Indian Formation.

6. Organic geochemistry results

Table 2 presents key biomarker parameters indicative of organic inputs, depositional environments and thermal maturity for shale samples. With their C_{32} hopane $22\text{S}/(22\text{S} + 22\text{R})$ ratio being around 0.58–0.62, the Devonian shale intervals from both wells have entered oil generation window, with East Mackay I-78 well being at slightly higher maturity than the Mackenzie River E-27 based on their C_{29} $\alpha\alpha\alpha$ steranes $20\text{S}/(20\text{S} + 20\text{R})$ ratios (Table 2). All these black shale samples have a pristane over phytane ratio (Pr/Ph) in the range of 1–2 and a dibenzothiophene over phenanthrene ratio (DBT/Phen) < 1 , which is consistent with their marine shale lithology (Hughes et al., 1995).

Fig. 6 shows the distributions of 2,3,6- and 3,4,5-trimethyl aryl isoprenoids relative to the non-source-specific alkyl benzenes in representative samples. All of the studied shale samples contain high abundances of these aryl isoprenoids ranging from C_{13} to C_{31} as well as a low but recognizable presence of their precursors C_{40} isorenieratanes. The aryl isoprenoids are at much higher abundances than the alkyl benzenes of same carbon numbers (Fig. 6), a distribution pattern that has been reported in the Upper Devonian Bakken shales but not in the overlying Mississippian Lodgepole carbonates from the Williston Basin (Jiang et al., 2001; Aderoju and Bend, 2018). The ratio of C_{18} trimethyl aryl isoprenoids over alkyl benzene (C_{18} TAI/AB) is in the range of 12.41–82.52 for Canol samples and 8.95–11.00 for the Bluefish samples (Table 2), similar to that of the Upper Devonian Bakken shales (9.81–73.46; Jiang et al., 2001). The concentrations of various aryl isoprenoids in the studied HRG samples (Table 2) are higher than those reported for the Bakken shales from Williston Basin (Jiang et al., 2001), the Woodford shales in Arkoma Basin (Connock et al., 2018), and the Famennian Hangenberg black shales from the Polish part of the Laussian Shelf (Marynowski et al., 2012). For example, the concentration of C_{18} TAI in the solvent extract is up to $1267\text{ }\mu\text{g/g}$ in the Canol samples and as high as $863\text{ }\mu\text{g/g}$ in the Bluefish samples of this study but less than $180\text{ }\mu\text{g/g}$ in the Bakken shales studied by Jiang et al. (2001). The total concentrations of $\text{C}_{13}\text{--}\text{C}_{22}$ TAI relative to the TOC is in the range of 130–578 $\mu\text{g/g}$ TOC in Devonian shales of this study, much higher than that in the Hangenberg black shales from Poland (4.81–13.45 $\mu\text{g/g}$ TOC; Marynowski et al., 2012).

The Canol and Bluefish subsets of samples also show interesting differences in their distributions of tri-, tetra- and penta-cyclic terpanes (Table 2). The Bluefish samples have a C_{23} tricyclic terpane over C_{30} hopane ($\text{C}_{23}\text{T}/\text{C}_{30}\text{H}$) ratio between 2.83 and 3.25, which is much higher than that of the Canol samples where it is mostly below 1 (Table 2). The Bluefish samples also have much higher C_{27} 18α -trisnorneohopane over 17α -trisnorhopane (Ts/Tm) and gammacerane over hopane (Gamma/C30H) ratios than samples from the Canol Formation, all indicating the varying depositional environments and diagenetic conditions. Nevertheless, samples from these two lithostratigraphic units display similar sterane distributions of $\text{C}_{27} \approx \text{C}_{29} > \text{C}_{28}$ (Table 2) which is similar to those reported for the Middle to Upper Devonian black shales of other basins (Creaney and Allan, 1992; Jiang et al., 2001; Connock et al., 2018).

7. Discussion

7.1. Devonian anoxic events in the Horn River Group

Conodont age brackets available to date (data of S.A. Gouwy reviewed by Kabanov, 2019; Gouwy, in press) place the AH-I close to the

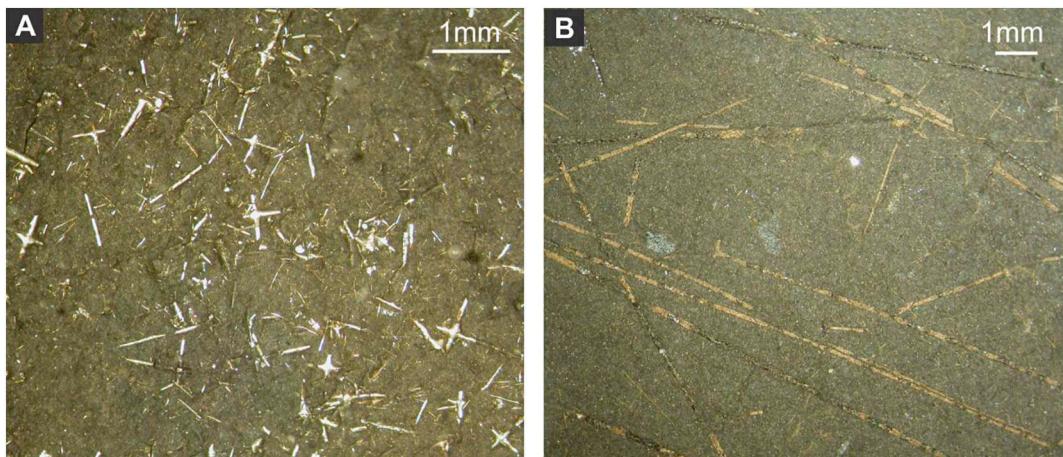


Fig. 5. Hyalosponge spicules under binocular, basal Imperial shales in Mirror Lake N-20 well: (A) smaller spicules replaced by pyrite; (B) larger, non-replaced spicules. More information is given in Supplementary Appendix 2.

Eifelian/Givetian boundary and the global Kačák Event (Fig. 1). The AH-II finds correspondence in the Frasnes event of the basal Frasnian, and AH-II and AH-IV are bundled within the Middle Frasnian, the time of remarkable spread of anoxic sedimentation over continental shelves resulted in the deposition of major hydrocarbon source rocks (Fig. 1; Kabanov, 2019). The AH-III appears to be the expression of the punctata $\delta^{13}\text{C}$ excursion of Europe and the Middlesex black shale of the Appalachian foreland basin (Fig. 1; Yans et al., 2007; Pisarzowska and Racki, 2012). The AH-IV in this correlation may be an equivalent of the Rhinestreet black shale of the Appalachians (Fig. 1), although the conodont biostratigraphic identifications are scarce for the upper Canol Formation (Gouwy, in press) leaving a room for further change in age assignments.

The Devonian anoxic events are associated with high-amplitude excursions in $\delta^{13}\text{C}$, which in skeletal-carbonate expression are most pronounced at the latest Eifelian – earliest Famennian interval (Fig. 1; Buggisch and Joachimski, 2006; Becker et al., 2012; Saltzman and Thomas, 2012). Fraser and Hutchison (2017) proposed correlation of their $\delta^{13}\text{C}_{\text{org}}$ positive excursions in the Canol Formation with the $\delta^{13}\text{C}_{\text{carb}}$ reference curve from GTS-2012 (Fig. 1), which merits extra caution because of totally different carbon fractionation pathways during sedimentation and diagenesis (e.g., Oehlert et al., 2019), and there are examples where $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ trends across event horizons are unpaired or even opposite (Cramer and Saltzman, 2007; Oehlert et al., 2019). Available records from the Devonian suggest that anoxic events are expressed as overall positive excursions in both proxies, although not necessarily in significant covariation (Buggisch and Mann, 2004; Van Hengstum and Gröcke, 2008; Śliwiński et al., 2011; Pisarzowska and Racki, 2012; Lash, 2019).

In the HRG, the positive $\delta^{13}\text{C}_{\text{org}}$ excursions of AH-II, AH-III, and AH-IV (Fig. 3) are overall co-trending with the coeval positive shifts of $\delta^{13}\text{C}_{\text{carb}}$ in different basins of the World (Fig. 1; Buggisch and Joachimski, 2006; Yans et al., 2007; Śliwiński et al., 2011; Pisarzowska and Racki, 2012; Lash, 2019; Zhang et al., 2019). The AH-I exhibits only minimal positive shift of the regression line and broad spread of data in -30.1‰ to -27.17‰ , which may reflect inadequately rare (0.6–0.7 m) sampling in a condensed section masking geologically rapid bidirectional (negative and positive) swings reported from the Kačák interval (Van Hengstum and Gröcke, 2008). Although available $\delta^{13}\text{C}_{\text{org}}$ data from the AH-I may not look conclusive for establishing the Kačák isotopic shift, other signatures such as abrupt in lithological expression, basinwide switch from the oxic carbonate platform to condensed anoxic sedimentation (Kabanov and Gouwy, 2017; Kabanov, in press) attest for a major redox event at the base of the HRG. Further analysis of the carbon isotope expression of AHs is beyond our scope for this paper. It

is sufficient to conclude that new $\delta^{13}\text{C}_{\text{org}}$ data increase confidence that, within available age constraints, AHs of the HRG are the global Devonian anoxic events.

7.2. Photic-zone euxinia

Aryl isoprenoids with 2,3,6-trimethyl substitution pattern and isorenieratanes are derivatives from isorenieratene, the aromatic carotenoid specific to green sulfur bacteria (GSB) of the family Chlorobiaceae (Koopmans et al., 1996; Imhoff and Thiel, 2010). Obligatory anaerobic phototrophic metabolism of GSB (Imhoff and Thiel, 2010) makes aryl isoprenoids and isorenieratane indicators of PZE (Table 1; Summons and Powell, 1987; Koopmans et al., 1996). Presence of the aryl isoprenoids in all studied samples (Fig. 6 and Table 2) indicates that PZE was a regularly occurring condition. Higher abundance of aryl isoprenoids in Canol samples relative to the Bluefish subset suggests that chemocline was overall shallower during Frasnian or resided in the photic zone for longer periods than during the onset of anoxic sedimentation across the Eifelian/Givetian boundary (Fig. 1C). Higher abundance of aryl isoprenoids in Canol samples might also reflect deeper light penetration in cleaner water as the Canol contains overall less siliciclastic fines than the Bluefish (Figs. 3 and 4; Kabanov and Gouwy, 2017).

7.3. Evidence for fluctuating redox regime

Several lines of evidence attest for the unstable chemocline in the water column, which is not surprising considering how many Devonian-Mississippian black shale basins exhibit signatures of fluctuating redox conditions (e.g., Marynowski et al., 2011, 2012; Martinez et al., 2019). First, the unstable presence of gammacerane and the variation of Gamma/C30H in HRG samples (Table 2) indicates a lack of permanent water-column stratification (Sinninghe Damste et al., 1995). Similar features were reported from other prominent examples of Middle Paleozoic black shales deposited in open-shelf settings (e.g., Marynowski and Filipiak, 2007; Philp and DeGarmo, 2020). The degree of the water column stratification must have varied over the protracted (~ 388 – 378 My) HRG deposition, as suggested by higher Gamma/C30H ratio and lower relative abundances of aryl isoprenoids in three Bluefish samples compared to the Canol subset, but the sequence of water stratification events cannot be accurately assessed with the limited number of available biomarker data and the averaged character of samples for biomarkers incorporating dozens of individual sedimentary laminae.

Hyaline sponge spicules provide the other evidence. They are common fossils in suboxic to anoxic fine-grained sediments of the

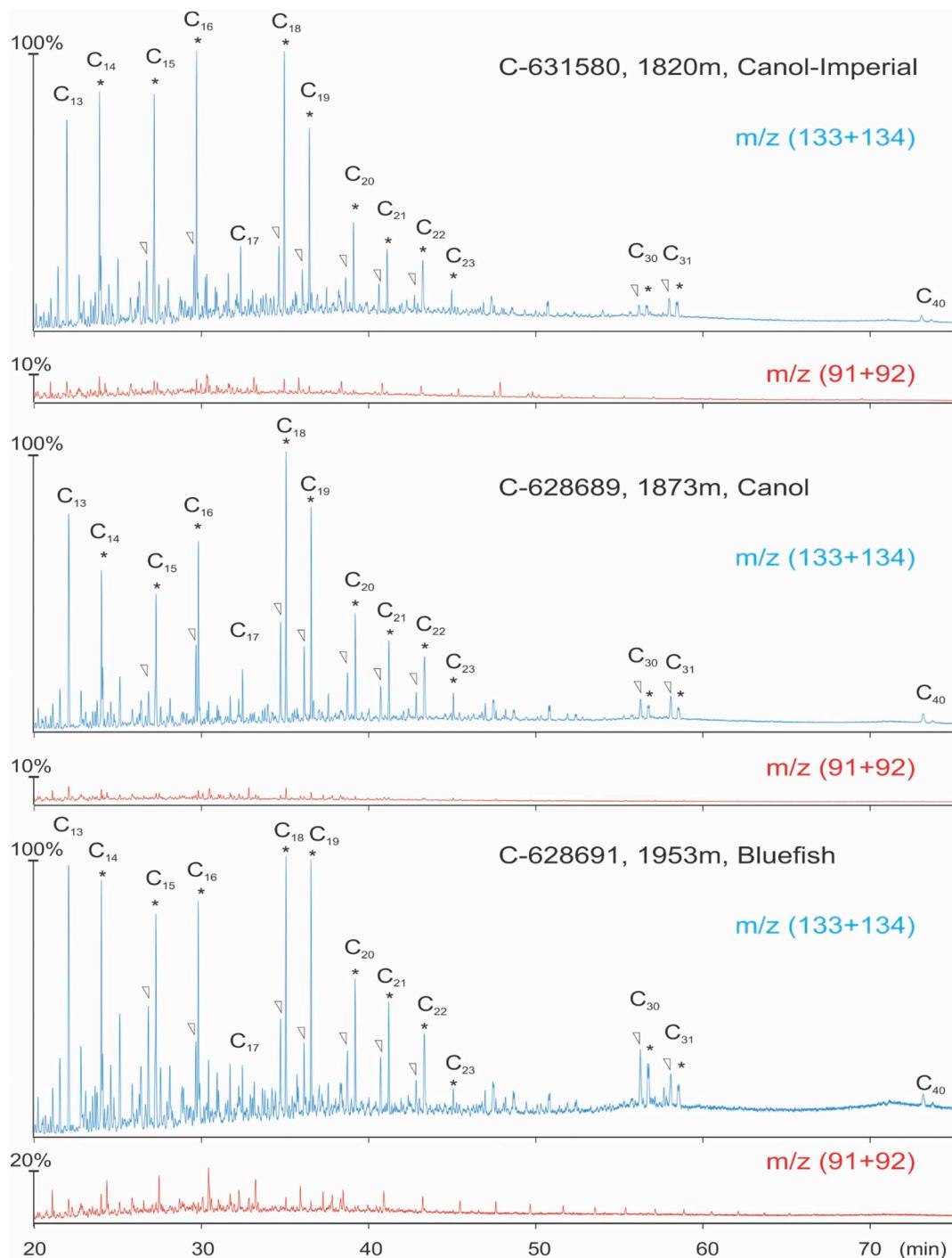


Fig. 6. Mass chromatograms m/z (133 + 134) and (91 + 92) showing the distributions of trimethyl aryl isoprenoids and alkyl benzenes in samples from East Mackay I-78. Aryl isoprenoids are significantly more abundant than alkyl benzenes. Peaks labelled by asterisks represent 2,3,6-trimethyl aryl isoprenoids, and those labelled by triangle express 3,4,5-trimethyl aryl isoprenoids.

Phanerozoic where they represent the last evidence of benthic aerobic life in the facies succession towards seafloor euxinia (Racki et al., 2002; Bond and Wignall, 2005; Reolid, 2014). This tolerance to hypoxia originates from the lack of HIF (hypoxia-inducible transcription factors) metabolic pathway in poriferans, whereas in crown-group metazoans HIF maintains stable levels of oxygen in intracellular reactions and shuts down metabolism at certain levels of oxygen starvation (Loenarz et al., 2011; Mills et al., 2018). Sponges survive at oxygen concentrations as low as $\sim 3\text{--}8\text{ }\mu\text{M}$ in Peruvian-coast OMZ and in other non-sulphidic anoxic settings where they can endure months of seasonal anoxia (Bell and Barnes, 2000; Levin, 2003; Mosch et al., 2012; Mills et al.,

2018). However, an oxygen level of at least 0.5–4% of present-day level seems to be necessary to avoid sponge necrosis, and smaller individuals showed the ability to adapt to the lowest survivable oxygen levels (Mills et al., 2014).

With regards to sponges from the HRG (Fig. 4), the above considerations suggest that the overall anoxic regime would have to be punctuated by periods, probably seasonal, of weak seafloor oxygenation that permitted growth of smaller sponges which were likely represented by different taxonomic groups based on different spicule morphology (Fig. 5 and Supplementary Appendix 2). Mimic substitution of spicules by pyrite (Supplementary Appendix 2) would require rapid micro-

replacement process without creation of an intermediate mold after readily dissolvable opal material (Pisera, 2006; Reolid, 2014). This replacement probably happened during necrosis of a choking sponge at the switch to sulphate reduction inside the sponge body and probably in the ambient water driven into euxinia by the next chemocline rise.

Finally, Mo and U show strong linear covariation ($r^2 \approx 0.7-0.8$) in black shale units based on representative ($n = 1687$) data from the HRG and the basal Imperial shales (Kabanov, 2019). These black shales are also remarkably enriched in Mo (3–4 times relative to Mo/U ratio in present-day seawater), which is a signature of an oceanographically open anoxic basin with unimpeded resupply of dissolved U and Mo (Kabanov, 2019). This Mo/U signature is very similar to the Cariaco Basin of the southern Caribbean but different from present-day zones of tropical east-coast upwelling and the permanently stratified landlocked basins such as Black Sea (Algeo and Tribouillard, 2009; Tribouillard et al., 2012). In Cariaco Basin, a low sill allows for rapid water renewal with a complete turnover every 50–100 years, and bottom redox conditions frequently fluctuate maintaining the Mn- and Fe-oxyhydroxide shuttling of trace metals as a main factor of covariant Mo and U enrichment in the sediment (Tribouillard et al., 2012; Scholz et al., 2017).

7.4. How deep was the Horn River Group seaway?

Similarity of Mo/U signatures, however, does not make the deep (~1400 m) Cariaco Depression an analog for the HRG sedimentary system. In the latter, the water depth can be estimated based on three assumptions: (1) the HRG accumulated in tectonically quiet setting (Fallas et al., in press; Kabanov, in press) precluding local-scale differential subsidence; (2) the burial compaction caused similar thickness reduction in Hare Indian-Ramparts banks and in the siliceous shales and cherts of adjoining fonoforms; and (3) Kee Scarp banks kept aggrading close to sea level as indicated by the tidal-flat laminites formed in inner bank lagoons of these banks (Yose et al., 2001). In BAT area where carbonate banks are the tallest and likely survived through the entire Canol time, the Hare Indian-Ramparts is *ca.* 350 m (Kabanov and Deblonde, 2019), which approximates the net accommodation space created during HRG deposition. The thickness of Hare Indian and Canol mudrocks deposited in SOB and WOB areas in proximity to BAT shoals is close to 130 m (Kabanov and Deblonde, 2019), which gives a rough estimate of 220 m of net unfilled space in fonoformic seafloor before correction to the lithostatic compaction. As decompaction models are not yet available for the HRG, we can only speculate that the unfilled accommodation space would be restored as approximating 250–300 m by the end of Canol sedimentation.

8. Reduced water energy in an open-shelf basin

Maintenance of water-column stratification with PZE implies shallow storm wave base, which lines up with absence of high-energy facies in the HRG except for its base and the Ramparts Formation. In the HRG base, the evidence for high-energy events is provided by surfaces of storm scouring and thin (≤ 20 cm) brachiopod coquinas with broken, imbricated shells. These coquinas mark the Hume/Bluefish contact (Kabanov and Gouwy, 2017). Graded bioclastic beds can be also encountered in the Ramparts platform member and the Bell Creek Member. Rounded grainstones occur in Kee Scarp banks along with bioturbated packstones. The Kee Scarp banks, although they are frequently called reefs based on plethora of large bulbous stromatoporoids, *Amphipora*, and favositid corals *Thamnopora* (Pugh, 1983; Gal et al., 2009; Pyle and Gal, 2016), show the extreme scarcity of thick marine cements. The latter may be represented by isopachous rims lining intergranular pore space or thicker botryoidal deposits of acicular or radial crystal habits. Radial calcite cements (and very similar fascicular-optic cements) profusely occur in a variety of Paleozoic and Mesozoic carbonate buildups, including mud mounds, and clearly are not restricted to shallow-water marine environments (Flügel,

2010; Richter et al., 2011). However, acicular isopachous rims and botryoids of originally aragonitic composition characterize reef and shoal environments where warm, saturated with Ca^{2+} and Mg^{2+} seawater is continuously pumped through the sediment pore space (review in Flügel, 2010). Furthermore, the best studied oil-producing carbonate bank at Norman Wells lacks facies along its inferred windward margin that would be ascribed to the high-energy reef crest (Yose et al., 2001). In their confirmed occurrences, allochthonous limestones aproning Kee Scarp banks are mostly fine-grained calcarenites and calcisiltites (Mackenzie, 1973) with surprisingly few and small (< 5 cm) pebbles and no coarse rubble of corals and stromatoporoids (Pugh, 1993). This evidence of reduced water energy is not unique to the Kee Scarp but widely reported from Middle Paleozoic carbonate buildups, where controversy in finding reliable water depth indicators allows room for broad interpretations (Hebbeln and Samankassou, 2015).

These signatures of reduced surface-water energy have no explanation by physiographic protection as no evidence for an offshore physiographic barrier or syndepositional blocky tectonics exists across the preserved expanse of the HRG basin (Fallas et al., in press; Kabanov, in press). On broader scale, Laurentian siliciclastic components in the Hare Indian Formation clearly attenuate westward of the BAT zone (Kabanov, 2019), and the Trail River Section at the Peel Shelf – Richardson Trough transition (Fig. 2) is the most depleted in siliciclastics while showing the highest enrichment in authigenic Mo (Fraser and Hutchison, 2017; Kabanov, 2019). In the Selwyn Basin (Fig. 2A), sediments coeval or partly coeval with the HRG are black basinal shales and cherts with submarine hydrothermal metalliferous deposits historically interpreted as SEDEX deposits related to the early phase of ocean-opening rifting (Goodfellow et al., 1993; Magnall et al., 2018). Intervening clastic wedges in the Devonian basinal sequences of the Selwyns are the locally derived lithic conglomerates and sandstones with no evidence of remote sourcing (Gorday and Anderson, 1993; Gorday, 2013). As summarized earlier (Kabanov, 2019), the structural complexity of the Selwyn Basin and controversies in the ANA continental-margin history during the Eifelian-Frasnian time do not allow many conclusive interpretations, but the absence of the barrier between the Peel Shelf sea and Panthalassa appears certain.

8.1. Can Devonian anoxic events be linked to sea level highstands?

In the HRG, the evidence for sea-level fluctuations is only available in Kee Scarp bank-top sections where microbial fenestral laminites, considered the shallowest-water facies, intervene in bioturbated subtidal facies with stromatoporoids and corals (Muir et al., 1984; Yose et al., 2001; Kabanov, 2017; Kabanov and Borrero Gomez, 2019). No obvious subaerial discontinuities are detected in the Kee Scarp.

Although facies repetitions manifesting 4th order cyclicity (0.1–0.5 My; Haq and Shutter, 2008) are not uncommon in coeval shallow-marine strata (Elrick, 1995; Brett and Baird, 1996; Vierek, 2014; Haq and Shutter, 2008; Brady and Bowie, 2017), the evidence of base-level changes is suspiciously short. This is equally true for the 3rd order cycles (0.5–3.0 My; Haq and Shutter, 2008; Haq, 2014) which describe recurrence of anoxic events during the second half of the Devonian (Johnson et al., 1985). Subaerial exposure surfaces separating the 4th order cycles are documented only in the shallowest-water settings such as upper ramps (Elrick, 1995), epicontinental-sea strata (Witzke and Bunker, 1996; Brady and Bowie, 2017) or marine-fluvial cyclic packages (McClung et al., 2013). The depth of pedogenic penetrations and paleokarsts in tops of these cycles is usually described in centimetres (Elrick, 1995; Brady and Bowie, 2017), whereas deeper penetrating (> 1 m) subaerial exposure profiles are rare (e.g., Chen and Tucker, 2004) and do not appear to align at one stratigraphic level in different basins (Hallam and Wignall, 1999). Moreover, awareness increases that paleokarsts associated previously with “major” eustatic lowstands separating 3rd order cycles of Johnson et al. (1985) actually formed in settings of syndepositional blocky tectonics (e.g., Chow et al.,

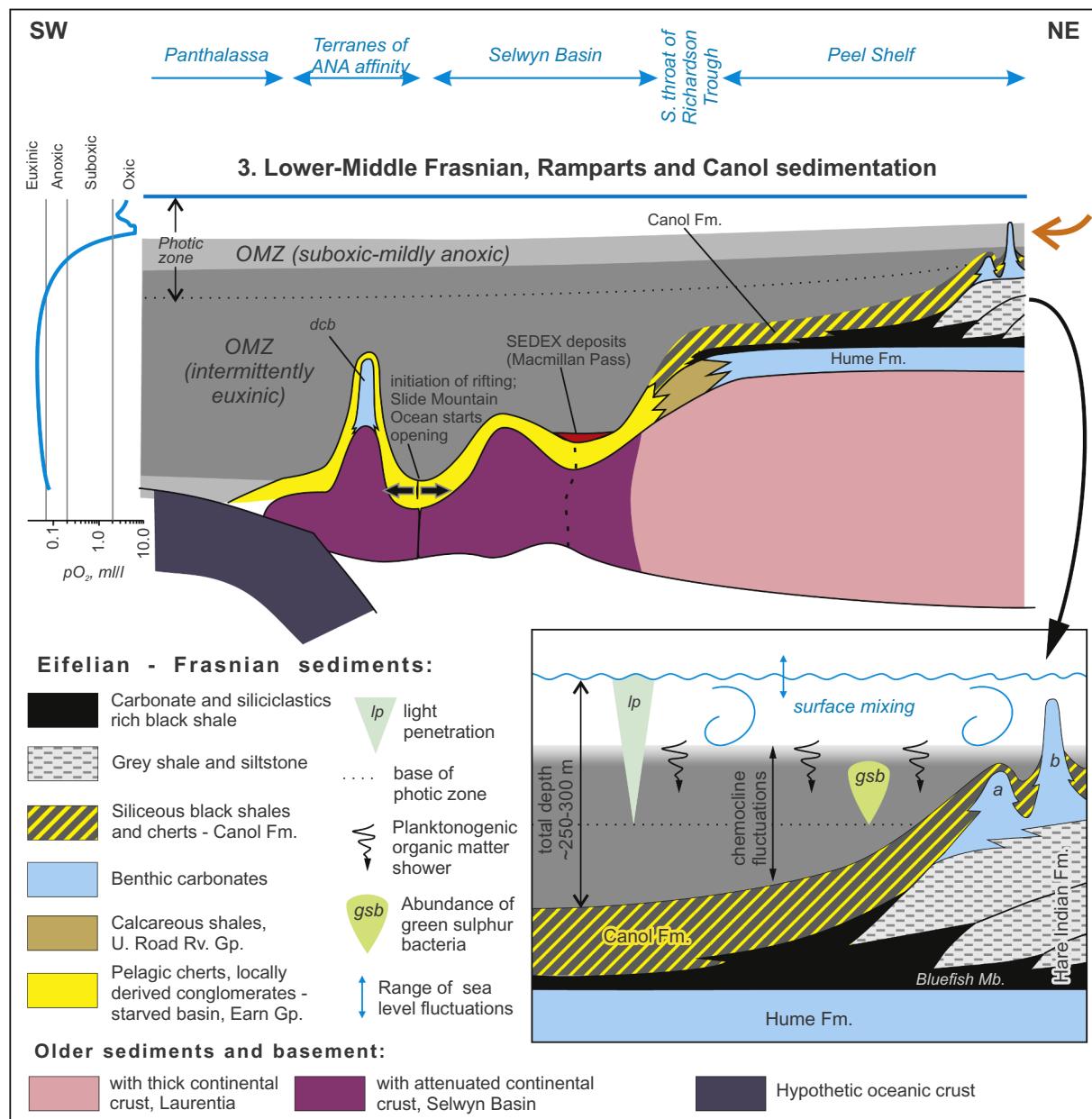


Fig. 7. Hypothetical position of Mackenzie-Peel Shelf in the continental-margin sea of ANA during Middle Frasnian maximum of ocean stratification. The Peel Shelf here is an oceanographically open system impinged by thick oceanic OMZ. Oxygen level on left is based on classification of redox environments (Tyson and Pearson, 1991; Tribouillard et al., 2006). Orange arrow indicates main flux of terrigenous fines from Laurentian sourceland causing hypothetical eastward shallowing of chemocline and light penetration (Kabanov, 2019); *dcb* is drowned Middle Devonian carbonate banks of Fairbanks Terrane (Dusel-Bacon et al., 2006). Geographic extent of this profile is approximated by the torn rectangle on Fig. 2A. **Inset:** more detail of water-column structure; two scenarios for Kee Scarp carbonate banks: (a) smothered by earliest Middle Frasnian rise of anoxic maters (e.g., Norman Wells oil-producing bank); (b) survived Middle Frasnian rise of anoxic maters (centre of BAT zone).

2004).

Another approximation to base level falls are fluvial incisions. Deep (> 10 m) fluvial downcutting is not common in the Middle-Upper Devonian either (Witzke and Bunker, 1996; McClung et al., 2016). Of two cited examples, the deepest incision of 25 m is reported from the area involved in the forebulge of the Appalachian Basin during Acadian compression (McClung et al., 2016). Meagreness of evidence for

Devonian sea level fluctuations is particularly lucid in comparison with icehouse sedimentary archives having no shortage of examples of deep, frequent (4th order) base level falls and high-amplitude marine transgressions in the cyclothemtic strata (e.g., Soreghan and Giles, 1999; Heckel, 2008; Kabanov et al., 2010; Eriksson et al., 2019).

The above considerations support the visions derating the “major sea-level fluctuations” of the Devonian down to first meters for 4th

order fluctuations and a maximum swing envisioned, still without a conclusive evidence, as ~30 m (e.g., [Smith et al., 2019](#)). Possibility that AHs of the HRG do record highstands within this modest sea-level range emerges from their attenuated siliciclastic signatures, which may reflect sourceland retreats in response to sea level rises ([Kabanov, 2019](#)). However, the same signature may be equally ascribed to desertification during severing climate differentiation predicted for global warming extremes ([Kidder and Worsley, 2010](#)), which is theoretically possible to achieve if sea level is standing still.

Noteworthy, readiness to accept the Devonian anoxic events as sea-level highstands is abated by the conodont $\delta^{18}\text{O}$ record from the Upper and Lower Kellwasser events of Paleo-Tethys realm suggesting cooling of surface waters by as much as 6 °C during the Upper Kellwasser ([Huang et al., 2018](#)). The $\delta^{18}\text{O}$ shifts to heavier values are also recorded in conodont phosphate and carbonate materials at the Early-Middle Frasnian transition and throughout the Middle Frasnian of southern Poland, which translates into a few degrees of water cooling during the maximum spread of anoxic shales ([Pisarzowska and Racki, 2012](#)).

Similar debate over the short evidence for sea level fluctuations continues about the Cretaceous warm-greenhouse sedimentary archive, which is much better preserved and relates to the present-day Earth-surface systems more closely than its Devonian counterpart ([Sames et al., 2016, 2020; Ray et al., 2020](#)). The main part of the Cretaceous lacks evidence of any form of ice on Earth's surface, but avails cyclic sedimentary successions of 4th to 3rd order indicating sea level fluctuations, which finds support in an array of geochemical proxies. Although the glacio-eustasy is discarded as non-existent or diminutive during the warm-greenhouse and hothouse ([Sames et al., 2016, 2020](#)), two hypothetical mechanisms can still be accounted for the 4th and 3rd order sea-level fluctuations. The *thermal eustasy* (a.k.a. *steric sea-level change*) stands for the cyclic expansion-contraction of the oceanic watermass in response to its heating and cooling ([Church et al., 2010; Piecuch and Ponte, 2014; Sames et al., 2016](#)). The *aquifer eustasy* refers to the fluctuating proportion of water retained in groundwater reservoirs with minor addition from open waters in lakes and rivers ([Wagreich et al., 2014; Sames et al., 2016, 2020; Ray et al., 2020](#)). In the absence of ice, the aquifer water potentially available to cyclically discharge into the ocean and recharge back, is estimated as 8–40 m equivalent of the sea level change ([Ray et al., 2020](#)), which is not a conclusive constraint because reliable simulations are not yet available ([Sames et al., 2020](#)). The thermal or steric component of the non-glacial eustasy is considered overall minor to the aquifer potential, as not exceeding 10 m on the kyr-scale term, although capable of fast (year-scale) response, which is unavailable for the aquifer eustatic cycles ([Sames et al., 2016](#)). Theoretical availability of mechanisms for non-glacial sea level changes, however, does not explain the meagreness of empirical evidence in both the Cretaceous and the Devonian, which casts doubt on sea-level amplitude estimates as routinely exceeding ~25 m in 4th and 3rd order cycles (e.g., [Haq and Shutter, 2008; Haq, 2014](#)).

8.2. Towards a consistent oceanographic model

Since sea level control does not withstand scrutiny, the clue to the Devonian pulses of anoxia expressed on continental shelves as synchronous anoxic events is sought in the expansion of oxygen minimum zones into a thick, relatively shallow, and semi-continuous oceanic layer, which is predicted under the warmer greenhouse mode of the Earth ([Meyer and Kump, 2008](#)). This scenario of expanded OMZs gains support with arriving data, such as imprints of Kellwasser and Hangenberg anoxias in an oceanic island-arc setting where clearly no room for oceanographic isolation existed ([Carmichael et al., 2014, 2016, 2019](#)). [Kabanov \(2019\)](#) discusses this scenario, where thick and shallow OMZ impinges upon the western ANA shelf ([Fig. 7](#)) and produces paradoxical (from the actualistic point of view) imprint in the HRG, which spares this paper from repetition. Discovery of PZE biomarkers in

the HRG provides solid support for this vision ([Fig. 7](#)). No evidence exists on the lateral extension of this OMZ into Panthalassa, as well as the presence or absence of a bottom oxygenated layer, making its depiction on the upper panel of [Fig. 7](#) a speculation awaiting tests with developing knowledge.

[Kidder and Worsley \(2010\)](#) defined the hot, short-living (usually ≤ 1.0 My, rarely up to 3 My) extremes of the greenhouse planetary state as the hothouse condition. The ocean response to hothouse is conceptualized as *haline euxinic acidic thermal transgressions* (HEATT), that are toxic for marine biota and are seen as triggers of mass extinctions ([Kidder and Worsley, 2010, 2012; Grasby et al., 2016](#)). The obtained $\delta^{13}\text{C}_{\text{org}}$ signatures of AHs of the HRG are consistent with global expressions of Devonian anoxic events as overall positive $\delta^{13}\text{C}$ excursions ([Fig. 1; Bond and Grasby, 2017](#)). However, a fully developed hothouse crisis should show a negative $\delta^{13}\text{C}$ swing caused by a drop in primary production as a result of nutrient arrest in expanded OMZs (e.g., [Grasby et al., 2016](#)). Fully developed Mesozoic oceanic anoxic events, interpreted as hothouse crises ([Hay and Floegel, 2012; Sames et al., 2016, 2020](#)), also record negative excursions of Mo, U and Zn, which are probably a response to drawdown of oceanic inventories of these metals by enhanced precipitation in expanded anoxic waters ([Algeo and Rowe, 2012](#)). Such negative swings of trace metals are not observed at peaks of Devonian anoxic events. The lack of evidence for nutrient crises at the majority of Devonian anoxic events led [Kidder and Worsley \(2010\)](#) to interpret these events as nascent HEATTs, and only the Frasnian-Famennian crisis as possibly a fully developed HEATT based on a pilot indication of the negative $\delta^{15}\text{N}$ excursion reported by [Levman and von Bitter, \(2002\)](#). The warm-greenhouse to nascent hothouse scenario for the HRG deposition seems to accommodate the evidence for the reduced water energy imprinted in Kee Scarp carbonate buildups as the wind speed is predicted to wane with progressive global warming down to 0.83 of the present-day value in the greenhouse and 0.67 at its extremes in the hothouse, but this calmness might be punctuated by severe cyclonic storms ([Kidder and Worsley, 2010](#)).

There are questions still awaiting answers. The evidence for the oceanic nutrient supply in the HRG is controversial and has to reconcile overall oligotrophic characters of the HRG sedimentary system ([Kabanov, 2019](#)), and development of massive barite deposits along the eastern margin of Selwyn Basin ascribed to a combination of hydrothermal venting and elevated primary production ([Fernandes et al., 2017; Magnall et al., 2018](#)). The expanded OMZs of a warm-greenhouse to hothouse ocean is predicted to effectively remove consumable N, Fe, and micronutrient metals from biochemical cycle while boosting release of bioavailable P ([Algeo and Ingall, 2007; Meyer and Kump, 2008; Kidder and Worsley, 2010](#)). In the HRG, phosphorus did not accumulate even in milder anoxic and suboxic facies ([Kabanov, 2019](#)) where it would readily precipitate if the sedimentary system were operating through the pathway of a present-day P-rich coastal upwelling zone ([Lomnitz et al., 2015](#)). No increase in P content is observed at AHs ([Kabanov, 2019](#)), which adds to the inconclusive evidence suggesting overall lean, but occasionally spiking, P_{tot} signatures at Kellwasser anoxic horizons available from the Appalachian Basin and the Paleo-Tethys localities ([Percival et al., 2020](#)).

9. Conclusions

The Horn River Group (HRG) of the latest Eifelian – Frasnian Panthalassa-facing continental shelf of Laurentia is an excellent archive of paleoceanographic signals imprinted in oxic and anoxic facies. The HRG basin featured pronounced facies zonation with grey-shale clinoforms and carbonate banks outgrowing these clinoforms. These carbonate banks maintained their growth close to sea level, while laminated black shales and pelagic cherts were deposited in adjacent off-bank (fondoformic) seafloors. These fondoformic sediments deposited at depth estimated as 250–300 m. New biomarker data, occurrence of sponge spicules, strong enrichment in authigenic Mo, and strong Mo/U

covariation indicate that the water-column chemocline was not permanent but remained in fluctuating regime characteristic of an oceanographically open system with unrestricted supply of trace metals from the ocean.

Aryl isoprenoids and isorenieratanes, biomarkers derived from green sulfur reducing bacteria of the family Chlorobiaceae, indicate the frequent and regular reoccurrence of the photic-zone euxinia (PZE) in the redox cycles characterizing the HRG deposition. This finding increases awareness that PZE was a widespread condition in shelfal basins during the second half of the Devonian and early Mississippian (Table 1), the scenario impossible under present-day vigorous thermohaline circulation but consistent with models depicting circulation reversal, drastic slowdown in watermass turnover, and greatly expanded oxygen minimum zones in greenhouse oceans. Biomarker indicators of PZE are also found in the base of the HRG, which is the stratigraphic level of the global Kačák Event (~388 My) where PZE markers are thus reported for the first time.

Horizons of enhanced anoxia (AHs) were revealed in the HRG previously with elemental geochemistry. This work further characterizes AHs with carbon stable isotope data from organic matter ($\delta^{13}\text{C}_{\text{org}}$) which show positive excursions. These Devonian-Mississippian anoxic events manifest globally as extreme and synchronous spreads of anoxic sediments in shelfal basins, and there are reports of Kellwasser anoxia fingerprinted in oceanic island-arc setting. Although controversial evidence about sea level and cooling-warming histories at the levels of Devonian anoxic events does not permit assertions, data collected in this paper are in favor of interpreting these events as the rises of anoxic waters in response to severing global warming recently defined as a hothouse condition. Lack of indication of nutrient crises at peaks of AHs in our materials supports interpretation of the majority of Devonian anoxic events as nascent, or underdeveloped, hothouse episodes.

The role of sea level rises in anoxic events must have been minor, since no rigorous evidence of sea level fluctuations in excess of several meters is available from the HRG, neither does it seem to be available in the Middle Devonian – Frasnian sedimentary archives of other regions. The most reasonable explanation of attenuated siliciclastic signature of AHs is seen in sourceland retreats in response to non-glacial (thermal and aquifer) eustatic transgressions.

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